

OPUS2

Scottish Covid-19 Inquiry

Day 2

July 27, 2023

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Thursday, 27 July 2023

(10.00 am)

LORD BRAILSFORD: There are two things that I want to do before we start formally.

The first one is a little formal statement by myself.

Now, I realise that some of you attending or watching yesterday may have expected to see or hear more in the way of acknowledgment of the impact the pandemic had on individuals and families. The reason that didn't happen is that this presentation was not designed as a formal hearing of the Inquiry, but as a session on epidemiology, on science. The focus of this session is to help those interested in the work of the Inquiry understand more about the underlying science which will form the basis of much of our investigation and, of course, deliberation throughout the coming no doubt many months.

On 28 and 29 August, as I told you yesterday, we will have a further hearing, or we will have a hearing, and the focus will then shift from the science to the people affected by the virus and the strategic response to the virus. On those days, we will hold what we consider to be our official preliminary hearing, and that will constitute a formal opening to the Inquiry's

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evidence-gathering and hearings to follow, which I think again, as you all know, will commence late in October.

At that stage, in August, there will be an opportunity for core participants to the Inquiry -- including, of course, bereaved families, care home relatives and others affected -- to participate. We also plan to show a film at that hearing to highlight the impact of COVID on people, to signal the beginning of our hearings dealing with impacts on the people of Scotland. I should say that I'm grateful to those families and individuals who have provided photographs which we've used in that film, and I thank them for their contribution and their own going participation in the Inquiry. They are and will continue to be at the forefront of my mind when conducting the work of the Inquiry and when it comes to deliberating and considering the report.

As I said yesterday, core participants will receive a communication from the Inquiry next week, providing more and detailed information about what to expect from the August hearings and how they should participate therein.

So I hope that clarifies matters.

That's the first thing I wanted to say.

The second thing is that Dr Croft has kindly told me

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that he's realised, on consideration last night, he made an error of a technical nature, and he would like to correct that. I think that's entirely appropriate.

Can I simply ask you to explain what the error was and then correct it.

DR ASHLEY CROFT (continued)

THE WITNESS: Yes, thank you, my Lord.

If I could ask you, my Lord, to turn to page 463.

LORD BRAILSFORD: 463.

A. In fact, before that, let's turn -- so sorry. We'll come back to 463.

Let's turn to page 659. This is about gargling to prevent COVID-19. We were just using it as an example of Cochrane reviews.

LORD BRAILSFORD: I remember that. 659, did you say?

A. 659.

LORD BRAILSFORD: Oh, yes. The Almanza-Reyes and Gutiérrez-García papers.

A. Of course, yes. That's right. So we started yesterday -- well, we began by talking about Mr Gale's hypothetical acne, and that Mr Gale went to his GP and he said, "Right, doctor, what's the risk of my being cured of my acne, my hypothetical acne, if I take this antibiotic?", and the doctor said, "The risk is -- in other words, the likelihood of you being cured, is 6,

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60%, because in the trial, of those who took the antibiotic, 6 got better and 4 didn't get better. So 60% likelihood. What's the risk of your getting better if you don't take the antibiotic?", and if you remember, the risk was 30%. So, therefore, the risk ratio was 2, meaning he was two times more likely to --

LORD BRAILSFORD: Two times --

A. Yes.

Right, so applying that same understanding to the gargling, if you remember, Almanza-Reyes and Gutiérrez-García were two randomised controlled trials, both in Mexico, and they both involved healthcare workers. They were quite small numbers, but produced a very powerful effect from gargling.

The combined impact of -- the combined pooled effect measure gave a risk ratio of 0.07 -- could you see that in the third line down? -- with a confidence interval of 0.02 to 0.23.

LORD BRAILSFORD: Yes.

A. In the heat of the moment, I said that means they're 93% less likely to get COVID-19 if they were gargling than if they weren't, and that's incorrect.

These were healthcare workers working in Mexico in intense environments where the transmission of COVID-19 was very intense, so they were obviously quite alarmed

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1 and worried, and they did this gargling. The first
2 group were gargling with a solution of silver
3 nanoparticles, and that was compared with a group who
4 just did conventional gargling. We don't know what
5 with.

6 The second group, Gutiérrez–García, they were all
7 wearing PPE, which is interesting, both arms of the
8 trial, and the experimental arm were also gargling with
9 this neutral electrolysed water, and they did that three
10 times a day, and the other group did it for three times
11 a day.

12 The first group were followed for nine weeks,
13 I think, and the second group were followed for
14 two weeks. So they weren't very long trials. Again,
15 the pooled effect measure was 0.07. So what does that
16 mean? What is the risk ratio? It's actually 14. So
17 it's 100 divided by 7.

18 LORD BRAILSFORD: Okay.

19 A. So in other words, the people who were gargling were
20 14 times less likely to acquire COVID–19 than the
21 doctors and nurses who weren't gargling, which is
22 impressive. You don't often get such good effect
23 measures, but this is, I think, indisputable, because
24 they were randomised controlled trials, they were well
25 done, and the heterogeneity was 0, the I–squared was 0%,

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1 so it was reasonable to combine these two trials. And
2 the confidence interval there — you can see that along
3 that dark, bold line — ranged from 0.02 to 0.23. So,
4 therefore, we can have 95% confidence that the true
5 measure lay somewhere between 50 times more protected,
6 which is 0.02, they might have been 50 times less likely
7 to acquire COVID–19, or they might have been four times
8 less likely to acquire COVID, which is 0.23. So that,
9 I think, is a correct interpretation.

10 It might have been helpful if Jefferson had given
11 some interpretation, but they put this forest plot in
12 because they obviously found it interesting, but they
13 were so busy talking about other things, they didn't
14 interpret it.

15 So that — just to finish, my Lord — tells us
16 a couple of things. Firstly, there are very effective
17 measures that one can apply to prevent COVID–19, such as
18 this one; simple, effective, cheap.

19 Oh, yes, in the Gutiérrez–García, the control group
20 were wearing full PPE, but nevertheless they still had
21 quite a high number of cases of COVID, 10 out of 79. So
22 it shows there may be some protective effect, but the
23 ones who were wearing full PPE and also gargling only
24 had one case out of 84. So much better to be gargling.

25 It shows you can do randomised controlled trials

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1 even at times of high COVID intensity, as long as
2 they're designed correctly. They don't have to be
3 expensive. The second group said they had no funding.
4 The doctors and nurses just decided to do it amongst
5 themselves. So this pre–empts the possible argument
6 that might be used by the policymakers: well, we
7 couldn't do research at this time because everything was
8 so fraught. But people were doing good trials. We may
9 later on discuss the Danish trial and the Bangladesh
10 trials that were done in this time.

11 So my last point — sorry to go on — is: what made
12 these doctors and nurses in Mexico do this?

13 LORD BRAILSFORD: Quite.

14 A. Which seems odd, and the answer must be: they must have
15 read the science. They must have read Jefferson 1,
16 which is the precursor of this, and that was the
17 original systematic review that came out in 2011.

18 If we look at Jefferson 1, again, there's a
19 surprising — page 463. That's the third forest plot
20 down called, "Analysis 1.9 ... Case–control studies,
21 Outcome 9 Nose wash". They must have looked at that
22 and thought: that's pretty impressive.

23 So they are looking at two studies there, Chinese
24 studies, that were done in China during SARS outbreaks,
25 mini–outbreaks.

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1 LORD BRAILSFORD: Yes.

2 A. And these are case–control studies. So they're not as
3 powerful as randomised controlled trials.
4 Case–controlled studies are what they call
5 quasi–experimental studies, and they level IIb evidence
6 in that hierarchy.

7 But, essentially, what happened here was that Chen
8 and Liu, Chinese investigators, they compared cases,
9 people who had got SARS and then they recovered — they
10 were all healthcare workers, we think — and they
11 matched them with equivalent age–matched and sex–matched
12 healthcare workers who didn't get SARS, and they worked
13 out: what was it that enabled one lot not to get SARS,
14 and the first lot to get SARS, and they found a powerful
15 protective effect from nasal gargling or nasal washing
16 of some sort.

17 Interestingly, the total — the diamond there shows
18 an odds ratio which is similar to the risk ratio, which
19 is 0.3. So it's the same kind of order of magnitude as
20 the Mexicans found later on.

21 So that, I think, explains all of that, and it's of
22 interest, and I think of practical importance as well.

23 Thank you, my Lord.

24 LORD BRAILSFORD: No, thank you very much indeed.

25 Yes, now, Mr Gale, when you're ready.

8

1 Questions from COUNSEL TO THE INQUIRY (continued)
 2 MR GALE: Thank you very much, my Lord.
 3 Doctor, we had reached, at the conclusion yesterday
 4 afternoon, page 11 of your report and section 2.3,
 5 headed, "What are coronaviruses?"
 6 I want to take quite a lot of this short because
 7 it's there for everybody to read —
 8 A. Yes.
 9 Q. — and those who wish to investigate it further will
 10 have the opportunity to do so.
 11 I think you indicated towards the bottom of page 11,
 12 last paragraph, that the coronaviruses are further
 13 categorised according to a classification scheme
 14 developed in the 1970s by the Nobel laureate David
 15 Baltimore.
 16 A. Yes.
 17 Q. Then there are four features: the molecular
 18 architecture, their genome, their replication strategy
 19 and whether, in the case of RNA viruses, they are
 20 positive or negative.
 21 A. Yes.
 22 Q. Now, I'm slightly interested in what is said in the
 23 second paragraph on page 12, where it is said that:
 24 "RNA viruses [which I think we know COVID is one of
 25 those viruses] ... have high error rates, with genomes

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1 diverging by as much as 2% in the course of a year —
 2 1 million times greater than the divergence rate of
 3 eukaryotic cell genomes."
 4 Now, can you translate that for me, please?
 5 A. Thank you, Mr Gale. That's a direct, word—for—word
 6 transcription from a textbook, and it's in jargon, for
 7 which I apologise.
 8 Sorry, I have lost the place on the page. We're on
 9 page 12 —
 10 Q. We are on page 12 of your report, the second full
 11 paragraph on page 12. "RNA viruses", it begins.
 12 A. Right.
 13 So RNA viruses are single—stranded nucleic acids,
 14 and so they don't have that same, shall we say, property
 15 of DNA viruses that has are double—stranded, and DNA
 16 viruses, as they replicate, are less likely to have
 17 slight changes in their molecular structure. So that's
 18 my understanding of this concept.
 19 So because they're more fragile, every time they
 20 replicate — and that's their whole purpose in
 21 existence, which is to replicate — there may be slight
 22 changes in the molecular structure, particularly in the
 23 ordering of the nitrogenous bases we were talking about
 24 yesterday, the guanine, adenine, cytosine and uracil in
 25 the case of — so there's a slight ordering in those

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1 bases. The code for which they exist is different.
 2 It's a bit like a computer code. It's similar to a kind
 3 of virus that gets into your computer, makes it behave
 4 slightly differently.
 5 So the genome is the totality of their genetic
 6 information, the total genetic profile, and that can
 7 change with viruses, as said here, by 2%. So in the
 8 course of one year of continuous replication, you may
 9 end up with viruses at the end that are 2% different to
 10 the original parent virus. That's how I understand
 11 this.
 12 Quite what that means is hard for us to understand,
 13 but the reference I have taken that from goes on to say
 14 that this is 1 million times greater than the genetic
 15 transformations that would be seen in eukaryotic cell
 16 genomes. Eukaryotic cells are those that are found in
 17 advanced organisms such as humans and mammals, and so
 18 therefore our genomes are also undergoing slight
 19 mutations all the time, or slight changes, but nothing
 20 like the rate we see in viruses.
 21 Q. You go on in that paragraph to say:
 22 "Many of these viral mutations are
 23 non—functional ..."
 24 A. Yes.
 25 Q. And then you qualify that:

11

1 "... but some will allow the virus to evade host
 2 immune responses and medical therapies."
 3 A. Yes.
 4 Q. Is this effectively a mutation?
 5 A. Yes. Yes, that is a mutation, yes. Every change in the
 6 genetic structure will be a mutation. But in general,
 7 mutations — and we undergo mutations ourselves,
 8 particularly those, for example, on the skin that are
 9 exposed to ultraviolet light. They may mutate. Some of
 10 those mutations in ourselves may cause the cells to
 11 become cancerous, to lose their normal properties.
 12 So most mutations don't result in a functional
 13 advantage for the cell or the organism, but some of them
 14 might. Some of them might make the virus more
 15 pathogenic, more easily transmissible and, therefore, if
 16 they're more easily transmissible, they may get into
 17 cells more easily, they may evade the immune response
 18 more readily, and, therefore, they become more
 19 pathogenic.
 20 Q. Perhaps, to put it simply, they become a moving target
 21 so far as human —
 22 A. Yes, correct.
 23 Q. — immune responses and medical therapies are concerned.
 24 A. Of course. We see the same phenomenon with influenza
 25 viruses, which again are RNA viruses. They are around

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1 us all the time. And when conditions are particularly
2 good for their transmission, which means the winter,
3 they will cause disease, and all the time influenza
4 viruses are undergoing slight mutations. It's called
5 antigenic drift. So there's a tendency for them to
6 always be a bit different.

7 Occasionally, influenza viruses will undergo a major
8 mutation, and that's termed antigenic shift, and that
9 gives rise to a new subtype of influenza virus, which
10 often can be very dangerous, because the new subtype may
11 be one that people have never been exposed to because
12 it's so different.

13 The same phenomenon, as I understand it -- I'm
14 an epidemiologist, not a virologist -- is seen in
15 coronaviruses where, as you say, Mr Gale, they are
16 a moving target.

17 Q. Could you just read the final paragraph, so we have it
18 in the notes, that begins "In summary".

19 A. Yes:

20 "In summary, coronaviruses are enveloped,
21 single-stranded, positive-sense ribonucleic acid viruses
22 that infect mammals and birds and that typically (but
23 not exclusively) target the respiratory tract of their
24 hosts."

25 Q. We move on now to what diseases are caused by

13

1 coronaviruses. I think some of this we've already
2 looked at in the context of your appendices on SARS and
3 MERS.

4 A. Yes.

5 Q. I think we can take that as read.

6 I think yesterday you indicated that there were
7 seven known human coronaviruses, and that is set out in
8 the table on page 13. I think the first four of those
9 coronaviruses are the ones that you didn't specifically
10 refer to, but I think they're there just simply for the
11 record.

12 A. Yes.

13 Q. The ones with which you were concerned are the last
14 three: MERS, SARS and SARS-CoV-2.

15 A. Yes, those are the novel coronaviruses that emerged --
16 we don't know with certainty how, but more likely than
17 not as a result of some kind of animal reservoir
18 spilling over into humans.

19 Q. Can we move over now to 2.5 at page 14. There we'll
20 see, again, this is some material that we've already
21 looked at, and, as you say, the term is
22 "architecture" --

23 A. Yes.

24 Q. -- and that is shown in the figure.

25 Again, I think one of the things that probably most

14

1 of us do remember from general presentations during the
2 COVID pandemic was the significance of the spike, hence
3 the name "corona".

4 A. Yes.

5 Q. Can you just explain what the spike is and what it does?

6 A. Yes. The spike of SARS-CoV-2 -- this picture shows
7 SARS-CoV-2, which is very similar to the original SARS
8 virus, the coronavirus that caused SARS. First of all,
9 it's quite a large virus, so it's quite complex, so
10 potentially there's the possibility of it changing in
11 unexpected directions and causing problems with
12 an immune response. But it's surrounded by these spike
13 proteins which project from the envelope. The spike
14 proteins are the means by which -- the surface proteins
15 by which the virus achieves entry into the target cells
16 of the host, which may be an animal or it may be human,
17 and how the virus does this is through identifying and
18 fusing with a receptor, which is a protein, on the
19 surface of the cell called the ACE2 protein. So the
20 spike protein attaches to that receptor, undergoes
21 a slight transformation, and then the virus can then
22 enter the cell through that entrance.

23 So I consider it's a little bit like a Yale key,
24 which will fit into a lock, it won't into other locks,
25 even though there are other locks, and it will open the

15

1 lock and then you can go in.

2 Just to go back to the previous table, the receptor
3 that SARS-2 had -- so the table on the previous page,
4 page 13. That's the table of the seven human
5 coronaviruses.

6 LORD BRAILSFORD: Yes.

7 A. That's right.

8 So if you look at the right-hand column, my Lord,
9 you can see that SARS-CoV-1, which was discovered in
10 2003 and caused the epidemic of SARS, has the same
11 receptor, the ACE2 receptor, angiotensin-converting
12 enzyme 2 receptor, as the SARS that we're preoccupied
13 with now, and that was quite helpful because a lot of
14 research had been done on the ACE2 receptor, exactly how
15 SARS-CoV-1 interacted with that. So quite a lot was
16 already understood about, if you like, the dynamics of
17 infection with regard to this novel coronavirus.

18 Q. I think we can also see in that table that the virus
19 identified in 2004 had the same entry receptor.

20 A. Yes, that's right, yes.

21 Q. Right. Can we go back to page 15, please.

22 A. Yes.

23 Q. I'm interested -- again, just taking various passages
24 from what you say -- in what you say in the second
25 paragraph on page 15. What you say there is:

16

1 "The mutations that SARS-CoV-2 accumulates
 2 facilitate the phenomenon of immune escape — meaning,
 3 that re-infection with the virus can occur after natural
 4 infection, and also after vaccination."
 5 A. Yes.
 6 Q. So is that essentially saying that we can expect to be
 7 infected with COVID more than once, even if we are
 8 vaccinated?
 9 A. Yes, just as we can expect to get flu more than once.
 10 But there may be some degree of — continuing with flu,
 11 with every flu season, there will be a slightly
 12 different flu variant, flu virus variant; in fact, there
 13 are a number of influenza virus variants circulating all
 14 the time. It will be slightly different to last year's
 15 because the virus is mutating all the time.
 16 Q. Yes.
 17 A. But often we will have a degree of cross-protection from
 18 the time that we last encountered that flu virus, so it
 19 won't affect us as badly.
 20 The same we would expect to find with SARS-CoV. If
 21 we've acquired it, especially if we've acquired it
 22 naturally, we may well acquire it again after a period
 23 of perhaps a year, but we are likely to not have such
 24 significant illness the second time round.
 25 Q. I think that's what you say in the following paragraph.

17

1 A. Of course, here I'm quoting the indisputable scientific
 2 literature. That's my reading of what is set out as
 3 indisputable facts.
 4 Q. Yes.
 5 We move on to 2.6, and we are now looking at the
 6 spread of the virus. You concentrate, first of all, on
 7 airborne spread versus droplet spread of respiratory
 8 pathogens —
 9 A. Yes.
 10 Q. — and the distinction you then set out in the following
 11 paragraph. I think you say that the distinction is
 12 based on the size of the infecting particles.
 13 A. Yes.
 14 Q. And in airborne spread, very small particles — and
 15 you've given the dimensions — and then you move on to
 16 droplet spread.
 17 In very simple terms, what is the difference between
 18 airborne spread and droplet spread?
 19 A. Well, I'm not convinced there is a great difference, but
 20 enormous arguments rage about the difference and what it
 21 means. But, basically, they're transmitted through the
 22 air, but some particles are heavy — I guess they're
 23 surrounded by mucous — and they fall on the ground
 24 around you, and others are very small and light and they
 25 waft through the air for some distance.

18

1 I guess, in practical terms, if most of the
 2 transmission is occurring through the droplets that are
 3 falling around you, then you want to focus on the
 4 immediate environment, whereas if most is occurring at
 5 a distance, the immediate environment may not be as
 6 important.
 7 But with most respiratory viruses, it does seem to
 8 be the case that it's a combination of the two, the
 9 airborne and the droplet spread, that results in
 10 transmission.
 11 Q. I think in relation to airborne spread, you do say that:
 12 "Being very small, the infective particles can
 13 remain suspended in the air for long periods of time and
 14 travel long distances and be inhaled into the air
 15 passages of potential new hosts."
 16 A. Yes.
 17 Q. Droplets, as you say, I think, to put it crudely, tend
 18 to be perhaps more localised.
 19 You make reference in your discussion on droplet
 20 spread to droplets contaminating environmental
 21 surfaces —
 22 A. Yes.
 23 Q. — or inanimate objects, that is fomites. Now, I think
 24 you do define — we don't need to look at it — what
 25 "fomite" means.

19

1 I think, again, we have probably all heard during
 2 the pandemic of the need to wash surfaces and handrails,
 3 etc. Is that what you're talking about?
 4 A. Yes. Yes, that would seem to be quite a logical thing
 5 to do and one that doesn't involve a great deal of
 6 inconvenience or expense. It's all part and parcel of
 7 basic hygiene.
 8 Q. The problem obviously is that if somebody touches
 9 somewhere where there is an infected droplet, and then
 10 puts their hand to their mouth —
 11 A. Yes, indeed.
 12 Q. — that is a potential transmission.
 13 A. Indeed, and your mask might become a fomite if either
 14 you have put infectious droplets onto it by breathing
 15 out or you've breathed in infectious droplets. It
 16 potentially could be a fomite. So masks have to be worn
 17 correctly and disposed of correctly.
 18 Q. I think then, in page 16, going on, you helpfully set
 19 out:
 20 "... the range of infective particle size (and hence
 21 the predominant mode of spread) will be affected by
 22 factors such as ..."
 23 And you list them: the volume, the character of the
 24 secretions, the extent to which droplets are converted
 25 to aerosol particles by evaporation.

20

1 That's something perhaps you might explain: how is
 2 a droplet evaporated and converted into an aerosol
 3 particle?
 4 A. Well, if droplets are mainly fluid and they are landing
 5 on a surface, especially if they're exposed to the sun,
 6 they will evaporate in the way that fluids do.
 7 Q. Simple as that.
 8 A. They end up as minute airborne particles.
 9 Q. Then you refer to the duration of airborne suspension --
 10 A. Yes.
 11 Q. -- which is influenced by environmental factors, and we
 12 will come on to those environmental factors in a minute.
 13 A. Yes.
 14 Q. But that includes temperature, humidity and prevailing
 15 air currents.
 16 A. Yes.
 17 Q. And then, finally, the distance travelled, which again
 18 is obviously influenced by environmental factors.
 19 A. Yes.
 20 Q. If you just read from the bottom of page 16 to the end
 21 of that section, doctor, so we just have, effectively,
 22 your summary.
 23 A. Thank you. So really this is the summary of the,
 24 I think, undisputed knowledge about transmission:
 25 "In reality, both the size of respiratory particles

21

1 produced by an infected person and the distance they can
 2 travel are likely to fall within a spectrum; for any
 3 given respiratory pathogen, therefore, disease
 4 acquisition may occur through both the airborne and the
 5 droplet mechanisms of spread."
 6 Q. And, obviously, the same transmission can be occurring
 7 at the same time.
 8 A. Indeed, yes.
 9 Q. You then go on to talk about what you say are the
 10 aerodynamic factors.
 11 A. Yes.
 12 Q. I think you begin by prefacing this with the word
 13 "Perplexingly".
 14 A. Yes.
 15 Q. You say:
 16 "... and although COVID-19 is generally thought of
 17 as an acute respiratory illness, there are very low
 18 levels of SARS-CoV-2 in the respiratory tract during the
 19 early phase of disease ..."
 20 A. Yes.
 21 Q. "... this is the case with all coronavirus infections."
 22 Is there an explanation for that?
 23 A. Well, it does seem to be the case that the virus
 24 particles are particularly trapped in the nasopharynx,
 25 which is the next paragraph, and they don't go straight

22

1 into the lungs, which is the mode of transmission with
 2 some airborne diseases, for example TB or asbestosis.
 3 You have to be a particle that wafts straight into the
 4 lungs and causes the problem there.
 5 So the nasopharynx is acting, it would seem, as
 6 a kind of filter and first line of defence by collecting
 7 all these virus particles, and then, from there, they
 8 disseminate to the rest of the body.
 9 That ties in with what we were just talking about,
 10 which is nasal washing and nasopharyngeal gargling.
 11 Q. If you go on, on that page, to the penultimate
 12 paragraph:
 13 "SARS-CoV-2 is primarily transmitted from person to
 14 person following close ([less than] 6 feet, ~2 metres)
 15 exposure to respiratory fluids carrying infectious
 16 viruses."
 17 Then you give examples; very simple examples of
 18 somebody breathing, singing, talking, coughing or
 19 sneezing, that:
 20 "... release large infective particles (droplet
 21 nuclei) into the air; these particles may land on the
 22 exposed mucous membranes of a ... host, causing
 23 infection."
 24 A. Yes.
 25 Q. Then you go on to infection from touching contaminated

23

1 surfaces, which you say is also possible, and then
 2 perhaps you would just read what you say in the final
 3 paragraph of this section at the top of page 18.
 4 A. So the paragraph starting, "Finally"?
 5 Q. "Finally ..."
 6 A. So:
 7 "Finally, SARS-CoV-2 exposure can occur when very
 8 small infective particles (aerosol particles), suspended
 9 in the air, are inhaled directly. Aerosol-generating
 10 procedures commonly take place in hospitals, and in
 11 dental surgeries; hospital procedures in this category
 12 include (but are not limited to) tracheal intubation,
 13 manual ventilation, non-invasive ventilation and the use
 14 of certain high-flow oxygen treatments."
 15 Q. Thank you.
 16 You then go on to talk about something you prefaced
 17 in the previous section, the environmental factors, and
 18 I think we can read what you say there.
 19 I think, on a practical level, it's quite
 20 interesting what you say, that in indoor settings,
 21 transmission is thought to be much less common.
 22 A. I thought I said the opposite.
 23 Q. I'm sorry.
 24 A. Yes, the opposite. Yes, it's more common in --
 25 Q. Yes, in outdoor settings, it's much less common.

24

1 A. In outdoor settings, much less common, yes. It is. And
 2 this would be because the viruses are naturally fragile
 3 and they are degraded by ultraviolet light coming from
 4 the sun, and fresh air disperses them even more.
 5 Of course, it immediately calls into question: what
 6 do you do about people outdoors? And certainly in
 7 England, the — well, by way of comparison, in Scotland
 8 during the lockdown, the golf courses were open; in
 9 England, they were all closed all the time during every
 10 lockdown. To me, that doesn't sound logical, because on
 11 a golf course you're in the fresh air, you're physically
 12 distanced from other people, and the risk of
 13 transmission must be very low.
 14 Q. I think there was talk in the very early days of the
 15 pandemic about the — to use a buzzword —
 16 super-spreader event, or potential super-spreader event,
 17 of the Cheltenham race meeting, which I think occurred
 18 very early in the pandemic.
 19 A. Yes.
 20 Q. Now, obviously that was —
 21 A. Out of doors, yes.
 22 Q. — outdoor, but it was presumably a lot of people in
 23 close proximity to each other.
 24 A. Yes. Yes. Sure, yes. Indeed. That foreseeably could
 25 have been a super-spreader event, yes.

25

1 Q. Thank you.
 2 You make reference at the bottom of page 18 to
 3 outbreaks on buses and trains.
 4 A. Yes.
 5 Q. You then make reference to what are termed "attack
 6 rates". Again, I think this is a term you define.
 7 A. Mm—hm.
 8 Q. But it's the proportion of people exposed who go on to
 9 develop infection, and you say that that has been as
 10 high as 36%. That's in relation to buses. I think you
 11 give the citation for that in your footnote. Then,
 12 similarly, you do that with trains.
 13 Then on the top of page 19 you refer to transmission
 14 during airline travel, and I think you say it can be as
 15 high as 60% in subsections of an aircraft. It probably
 16 is fairly obvious as to why that would be.
 17 A. That section was probably packed. But, equally, it's
 18 interesting that aircraft flights might result in a 0%
 19 transmission rate.
 20 Q. Yes.
 21 A. So it has to be interpreted cautiously, that piece of
 22 information.
 23 Q. I think you then mention Wuhan —
 24 A. Yes.
 25 Q. — and that the experience in Wuhan shows that

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1 transmission can be massive in a short space of time,
 2 with thousands of new patients diagnosed daily.
 3 A. Potentially, yes.
 4 Q. Then you have a section on patient-specific factors. In
 5 this section, you introduce a qualification on,
 6 I suppose, the degree of infection, and you say that:
 7 "Individuals with mild to moderate COVID-19 may shed
 8 infectious virus and respiratory secretions for up to
 9 10 days following the onset of symptoms ..."
 10 Just so that we understand, can you put in simple
 11 terms what you mean when you qualify the infection as
 12 being mild to moderate?
 13 A. I can't, because it's a subjective term, but what
 14 I presume is meant here is — generally, severe illness
 15 is generally categorised as one where the person has to
 16 seek medical advice from a GP or from a hospital. So
 17 mild to moderate, I imagine, would be the person being
 18 at home, not necessarily seeking medical advice, and
 19 self-medicating, or maybe not even self-medicating,
 20 just — but, on the other hand, not entirely well.
 21 Q. I think you say that that fact was known from early on
 22 in the pandemic.
 23 A. Yes, it was, and it was a factor that was also a feature
 24 of SARS, so it was logically a feature also of
 25 SARS-CoV-2.

27

1 Q. I think you then go on to say:
 2 "Immunocompromised people with severe disease may
 3 shed the virus for longer (potentially, for up to
 4 [10] days)."
 5 A. I think actually that's 20 days.
 6 Q. Oh, I'm sorry.
 7 A. I believe there's a transcription error which
 8 I corrected. But it's longer because they're taking
 9 longer to clear the virus.
 10 Q. Yes. So that's the same figure as the one you've given
 11 before.
 12 A. Oh, right.
 13 Q. So it must be different. It must be 20 days.
 14 A. Yes, of course. Yes, I beg your pardon.
 15 Q. Then, again, something I think we probably can all
 16 remember from the general presentations during the
 17 pandemic. You say that:
 18 "... the concentrations of SARS-CoV-2 RNA are
 19 highest one day before symptoms appear, leading to
 20 extensive spread of the virus by asymptomatic people not
 21 yet showing any signs of illness."
 22 A. Yes.
 23 Q. Is that effectively one of the dangers of the situation?
 24 A. It is, yes. Yes.
 25 Q. I think in the next paragraph you do say that some

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1 people may remain asymptomatic, albeit having acquired
 2 the virus and acquired the infection.
 3 A. Yes, especially if they're very young and healthy.
 4 Q. Yes. I think you make the point that, in the case of
 5 infected children, at least one-third are likely to
 6 remain asymptomatic during infection.
 7 A. Yes.
 8 Q. You then give the example of the Diamond Princess cruise
 9 ship --
 10 A. Mm.
 11 Q. -- which was quarantined -- I think we all remember the
 12 footage on the television of this -- off the coast of
 13 Japan.
 14 A. Yes.
 15 Q. What subsequently emerged from the research was that 52%
 16 of the 634 people who were laboratory-confirmed cases
 17 were initially asymptomatic --
 18 A. Yes.
 19 Q. -- and most began to show symptoms, but an estimated
 20 almost 18% of infected individuals never showed any
 21 symptoms of infection.
 22 A. Indeed, even though, one imagines, they were middle-aged
 23 and elderly passengers, but they were still
 24 asymptomatic.
 25 Q. Right. Thank you, doctor.

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1 The next section of your report, 2.10, deals with
 2 the origins of COVID-19.
 3 Now, this is, I think, an area that probably
 4 everybody is now -- or at least informed readers will
 5 be -- relatively familiar with, and I'm not going to
 6 take you through it in any detail.
 7 There are two things I would like to, however, ask
 8 you about.
 9 A. Mm-hm.
 10 Q. At the top of page 21, you say that:
 11 "It is now believed by many that the novel Wuhan
 12 virus was transmitted to humans via horseshoe bats ...
 13 and potentially other intermediate hosts, to whom
 14 individuals may have been exposed at wild food markets
 15 in the centre of Wuhan ..."
 16 I think that was what was initially thought.
 17 You also say:
 18 "... an alternative theory is that the virus
 19 resulted from a 'lab leak'."
 20 A. Yes.
 21 Q. I think there is a lab in Wuhan which may have been seen
 22 as being the source of the infection.
 23 I take it you're not expressing any view on which
 24 you consider; you're just putting those forward as the
 25 two alternatives?

30

1 A. Indeed, those are two theories. I was a bit sceptical
 2 about the lab leak theory, but it does seem that the
 3 SARS -- if you remember, SARS 2002-2003, there were
 4 several subsequent mini-outbreaks, and three of those --
 5 we touched this yesterday -- seemed to come from lab
 6 leaks of some sort, so clearly it's possible.
 7 Q. I think, helpfully, we can see the progression over
 8 about 20 days of the infection of persons with the virus
 9 shown in the maps that you've shown on that page, and we
 10 can all look at that.
 11 A. Yes.
 12 Q. The other point that I would like to take from this
 13 section on the history of the virus is what is said at
 14 page 22 at the top, where you refer to the basic
 15 reproductive rate, which I think we probably all
 16 remember being referred to as the R number.
 17 A. Yes.
 18 Q. I think you indicate that the original wild-type strain
 19 of SARS-CoV-2 was estimated as having an R number of
 20 2.8.
 21 A. Yes.
 22 Q. And, as you say:
 23 "The R [number] denotes the number of persons
 24 directly infected by an infectious case during his or
 25 her entire infectious period, on entering a totally

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1 susceptible population."
 2 Then I think you've indicated, by comparison, the R
 3 of seasonal influenza is typically between 1 and 2.
 4 A. Yes.
 5 Q. So, in simple terms, the R number represents the number
 6 of people who would be infected by one person.
 7 A. Yes.
 8 Q. Sorry, having said there were two things, there are in
 9 fact three things I would like to just take from this
 10 section, and this further matter follows on from what
 11 we've just discussed.
 12 If you go to page 25 at paragraph 2.13, you will see
 13 you deal with the emergence of variants, late 2020, and
 14 I think you say there that:
 15 "... new variants ... had emerged carrying several
 16 amino acid substitutions."
 17 A. Yes.
 18 Q. "The variants mostly had higher R ... numbers than the
 19 original wild-type strain and were said to be more
 20 transmissible due to mutations in the receptor-binding
 21 domain of the spike ... protein."
 22 You've explained the significance of the spike
 23 protein.
 24 A. Yes.
 25 Q. And then there are references to two of the variants

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1 that I think we are all, again, familiar with. The
 2 Delta variant had an estimated R number of 5.1 —
 3 A. Mm—hm.
 4 Q. — and the Omicron variant, which emerged in late 2021,
 5 had an estimated R number of 9.5.
 6 A. Yes.
 7 Q. I think that was perhaps one of the alarming aspects as
 8 that information came out.
 9 A. Yes. Yes. Yes. Yes.
 10 Q. Yes.
 11 Can we move on now, doctor, to 2.14 at page 26.
 12 You deal at the bottom of that page with, "How
 13 quickly does COVID—19 develop?" I think you say that
 14 those exposed to an infected person typically develop
 15 symptoms between four to five days post—exposure,
 16 although obviously you've indicated that people can
 17 remain asymptomatic during that period.
 18 A. Of course. Yes.
 19 Q. You then say that:
 20 "The median incubation period of COVID—19 (i.e. the
 21 time interval between the individual becoming infected
 22 ... and him or her then developing overt symptoms ...)
 23 is 4 days (with an interquartile range of 2—7 days).
 24 The incubation period can be as long as 14 days."
 25 A. Yes.

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1 Q. Now you deal with those who are at high risk for COVID
 2 infection. Perhaps I can hand over to you just to read
 3 through what you have said there, please. So 2.14 and
 4 following.
 5 A. 2.15, "Who is at high risk" —
 6 Q. I'm sorry, 2.15.
 7 A. "Who is at high risk for severe COVID—19 infection?
 8 "Individuals who are older, male, from deprived
 9 areas, or from black, ethnic or minority groups are at
 10 higher risk of severe disease and death from COVID—19."
 11 If I could just qualify that, my Lord. Really the
 12 key figure there is older. People who are older than
 13 85 years are at very high risk. The others are at
 14 increased risk, but those categories are not equivalent
 15 in terms of risk. But they are all at higher risk.
 16 "Substance use (e.g. alcohol, opioid or cocaine use
 17 disorder), and current or former smoking both increase
 18 the risk."
 19 Q. It is something that the Inquiry is going to look at in
 20 due course: is there a particular reason why black,
 21 ethnic or minority groups are at higher risk, do you
 22 know?
 23 A. Their genetic make—up is the same as ours, but it may be
 24 that their home circumstances are different. They tend
 25 to be multi—generational, more so than we are. We tend

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1 to live in nuclear families, compared to many ethnic
 2 minority groups. So there could be societal reasons as
 3 opposed to physiological explanations for it. But it's
 4 an area that does really warrant further research.
 5 Q. Yes, because I think it's an area that has been
 6 commented on —
 7 A. Yes, indeed.
 8 Q. — very considerably —
 9 A. Yes.
 10 Q. — and data tends to suggest that there's substance for
 11 that.
 12 A. Yes. Some of the ethnic groups do have a higher
 13 prevalence of obesity, and that in itself is a risk
 14 factor. So that could be another variable that explains
 15 this.
 16 Q. You go on to say:
 17 "The risk of severe COVID ..."
 18 And, again, you've got a qualification word there,
 19 "severe", and I take it that you've indicated earlier
 20 what "severe" is. It's somewhat subjective.
 21 A. It is somewhat subjective, yes.
 22 Q. But it's the sort of level of infection that would tend
 23 to suggest that it's time to see your doctor?
 24 A. I guess so, yes. Yes.
 25 Q. And you say that there are further increasing risk

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1 factors, and you list them there. I think they are
 2 probably fairly obvious: obesity, diabetes,
 3 hypertension, cardiac disease, frailty and impaired
 4 immunity, and you go on to say reduced ability to cough
 5 and clear bronchial secretions.
 6 We have come across and will continue to come across
 7 the concept of impaired immunity or immunosuppressed.
 8 Can you just explain what that is?
 9 A. Yes. The immune system is the body's way of combating
 10 pathogens, combating infections. It's very complicated,
 11 but there are two sides to the immune system.
 12 There's the innate immune system that we're all born
 13 with, and that's kind of a general kind of surveillance
 14 system that surveys all the potential pathogens that
 15 might have gone into the body. It's also called the
 16 cellular immune system, confusingly, because there are
 17 individual cells within that aspect of the immune system
 18 that have particular functions, and that will go out and
 19 seek and destroy pathogens. We're born with that, and
 20 that tends to decline with age.
 21 The other side of the immune system is what's called
 22 the humoral immune system, which is based on antibodies.
 23 That tends to be disease—specific. So we develop
 24 antibodies for specific diseases when alerted by the
 25 innate immune system, and that tends to get better as

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1 you get older; you encounter more diseases, so your
 2 stock of antibodies builds up. Furthermore, sometimes
 3 antibodies may be cross-reactive. They may be effective
 4 against one particular virus, and they may also be
 5 partly effective against a different virus.
 6 LORD BRAILSFORD: May I interrupt at this stage.
 7 You indicated, doctor — I think, in fact, Mr Gale
 8 indicated on your behalf — that the list you give is
 9 pretty obvious, and also it's fairly objective. But
 10 there's one word you use which I think is a little
 11 subjective: "frailty".
 12 A. Yes.
 13 LORD BRAILSFORD: "Frailty", I think many of us or we would
 14 all have different interpretations. Perhaps we
 15 automatically think of an old person.
 16 A. Yes.
 17 LORD BRAILSFORD: But what do you mean by "frailty" there?
 18 Are you able to be a little bit more objective?
 19 A. Yes, it is subjective, and there are frailty indices
 20 that will be used in care homes. My wife is a nurse,
 21 was for a long time staff nurse in charge of nursing
 22 homes, and actually there's an important distinction
 23 between nursing homes and residential homes there. So
 24 when new residents come into the nursing home or the
 25 residential home, they will be assessed for frailty.

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1 There are different measures, but they combine various
 2 factors, mainly based on mobility.
 3 LORD BRAILSFORD: Okay.
 4 A. Beyond that, I'm a bit out of my depth, actually. But
 5 clearly people have found that there are people who are
 6 old but very robust and there are people who are old but
 7 very frail, and it is the ones who are old and frail who
 8 are particularly at risk of COVID-19. Presumably this
 9 is because their innate immune system has gradually
 10 diminished with the passage of time, whereas their
 11 adaptive or humoral immune system is still all right.
 12 They've still got antibodies to a range the diseases
 13 they've encountered during their life.
 14 LORD BRAILSFORD: Thank you.
 15 Sorry, Mr Gale.
 16 A. Just — we were talking yesterday about the swine flu
 17 epidemic. That was striking because older people seem
 18 not to acquire swine flu, if you remember —
 19 LORD BRAILSFORD: Yes, you said that.
 20 A. — because they had encountered the same influenza virus
 21 in the 1950s, but the younger people were getting it
 22 because they hadn't.
 23 MR GALE: I think, just to complete the list, you say that
 24 people with chronic liver disease, especially cirrhosis,
 25 are at a high risk of severe COVID.

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1 A. Yes.
 2 Q. I think as was apparent from your papers that you
 3 referred to —
 4 A. Yes.
 5 Q. — this is an area with which you have a particular
 6 interest.
 7 A. Yes.
 8 Q. Could you just read the final paragraph and perhaps
 9 expand on it a little, page 28. It's the final
 10 paragraph of 2.15, please.
 11 A. "In the early stages of the pandemic the crude (i.e.
 12 all-age) [average] case-fatality rate ... from COVID-19
 13 was reported [in the medical literature and the press]
 14 as ranging from 1% to 13%–14%; this very wide variation
 15 in a key measure of pathogenicity was explained at the
 16 time as being possibly due to different case definitions
 17 used and, to some extent, the intensive care capacity of
 18 hospitals."
 19 Q. Right. Can you explain — because obviously
 20 an explanation is being given as to that wide range, and
 21 at the moment I'm, I have to say, slightly struggling to
 22 understand that.
 23 A. Yes. So when the pandemic came along, everyone was
 24 taken by surprise, and some centres were reporting,
 25 "13 or 14% of our patients that we've admitted are dying

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1 of COVID-19", others were saying, "Well, we were only
 2 finding 1% are dying", and it's puzzling that a measure
 3 that isn't objective, which is terminal, like death,
 4 should have accrued such a very wide range of reported
 5 observations.
 6 There are a number of explanations, and one is that
 7 there are different definitions of COVID cases in
 8 different countries, so different case definitions were
 9 used, and some of the people who died may not have died
 10 of COVID; they may have died with COVID. So that could
 11 explain some of the variation. And some countries may
 12 have had better intensive care capacity than others.
 13 Q. And even within countries, the intensive care capacity
 14 can vary.
 15 A. Indeed, and some thinking nowadays is that possibly some
 16 of the early treatment protocols were actually harmful.
 17 They were well-intentioned, of course, but they might
 18 have actually been causing more harm than good, because
 19 current treatment protocols are very conservative. The
 20 current approach in general to COVID is one of medical
 21 supportive measures, which Mr Gale suggested is
 22 equivalent to palliative treatment, which is right.
 23 That seems to be the best way of managing patients with
 24 COVID, with medical supportive measures, and very
 25 importantly nursing them so they're lying on their

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1 front, so that the secretions drain out of their mouth,
 2 not when they're lying on their back.
 3 Q. Now you deal with a section on, again, a subject that we
 4 will be looking at in some further detail, and that's
 5 COVID-19 and pregnancy --
 6 A. Yes.
 7 Q. -- and the general context of women's issues.
 8 A. Yes.
 9 Q. You've indicated that there was something of
 10 a difference: the risk was higher in the earlier stages
 11 of the pandemic, and that there was a different level in
 12 the Omicron era of the pandemic.
 13 Now, I think you indicate in the first paragraph
 14 that the risk was higher if -- and you give a number of
 15 factors, which I think are factors that are associated
 16 with risk in pregnancy --
 17 A. Yes.
 18 Q. -- outwith the complication of the pandemic.
 19 A. Yes. Yes.
 20 Q. And then the data that is available for the Omicron era
 21 indicates that:
 22 " ... pregnant women were substantially less likely
 23 to have a preterm birth or maternal critical care; fewer
 24 stillbirths and no maternal deaths were observed in the
 25 UK in this period."

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1 Is there any reason you can --
 2 A. Yes.
 3 Q. -- postulate for that?
 4 A. Yes. The Omicron era began in November 2021, as
 5 I mentioned earlier, so it began late on in the
 6 pandemic. So the explanation may be that the treatment
 7 protocols had been adapted by then and were more on the
 8 lines of supportive care, which was giving better
 9 outcomes, and perhaps were less interventionist, using
 10 aggressive therapies such as intravenous fluid
 11 replacement, whereas oral fluids might have been
 12 preferred.
 13 So this no doubt is being discussed even as we
 14 speak, and the answer to that question should become
 15 apparent with the passage of time, but I can just
 16 surmise as to what the reasons might have been.
 17 Q. And also the vaccine programme?
 18 A. Yes, indeed. So many of those women would have been
 19 vaccinated and, as we will discuss later, the vaccine
 20 does seem to confer, or does confer, based on the
 21 Cochrane analysis, less severe disease.
 22 Q. Move on now to 2.17, "Who is at low risk" --
 23 A. Yes.
 24 Q. -- "for severe COVID-19 infection?"
 25 Within this passage there is, perhaps, a somewhat

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1 concerning sentence, and one that perhaps needs to be
 2 considered in a little more detail. I will read it out:
 3 "In general, COVID-19 has a milder disease course in
 4 children and young adults than it does in older adults.
 5 The majority of children recover completely after acute
 6 SARS-CoV-2 infection and any persistent symptoms will
 7 improve with time."
 8 Now, I think you're presenting that --
 9 A. Yes.
 10 Q. -- from the point of view of a public health physician.
 11 A. Yes.
 12 Q. And obviously you are looking at, if I can put it this
 13 way, the larger, bigger picture.
 14 A. Yes.
 15 Q. And, of course, within that larger, bigger picture,
 16 there will be and will have been exceptions to the
 17 generality that you are stating there.
 18 A. Of course, yes.
 19 Q. And I think yesterday we talked about it: that it's
 20 perhaps of little comfort to those who have lost
 21 someone --
 22 A. Yes.
 23 Q. -- whether that person be in the area that is perhaps at
 24 most risk, such as the elderly, but also those who have
 25 lost someone in the apparently less risk category.

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1 A. Yes.
 2 Q. You then go on to talk about the case-fatality rate in
 3 the third full paragraph on that page, and then you have
 4 set out a table.
 5 Can you tell me where that table comes from, and
 6 perhaps just take us through it, please.
 7 A. Yes. That table is taken from what's called the
 8 Green Book, which is a Department of Health manual that
 9 is used by anybody who is in any way involved with the
 10 vaccination process, whether epidemiologists or GPs or
 11 nurses who administer vaccines. It used to be
 12 a green book, but nowadays it's all online, so this
 13 table was taken from the online version, published
 14 earlier this year. I've got a copy there.
 15 The table shows deaths in 2020 in England, but
 16 I think the table can be generalised to Scotland as
 17 well, and it breaks the deaths that one can attribute to
 18 COVID down into males and females, and it stratifies
 19 them very helpfully by age groups.
 20 So the first line is the deaths that occurred in
 21 the under 18s. So looking really at the right-hand
 22 column, there were 32 deaths in England in 2020 in
 23 the under 18s that are attributed to COVID-19, so
 24 there's a very small rate of death in the under 18s in
 25 that year. The following year might have been even

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1 smaller because, as I was saying, the treatment
2 protocols were better.
3 2020, the height of the pandemic, the rate was,
4 100,000 children, 0.26. So that's equivalent to two per
5 million; two out of a million children would have died
6 of COVID that year.
7 As we move up the age categories, that number gets
8 higher and higher, until we get into those who are 85
9 and older, and you can see there were 26,954 deaths in
10 total attributed to COVID, so a massive number, in the
11 85s and over, and the rate there for every 100,000
12 people who are 85 and over, it's actually 19,160.
13 LORD BRAILSFORD: 1,900, I think.
14 A. Well, that's — sorry, for every million.
15 LORD BRAILSFORD: Every million.
16 A. So let's take 100,000. Thank you, my Lord. So rate per
17 100,000 is 1,916.51.
18 I did a little calculation on that, and if you take
19 the over 65s, that comes to 59,628 deaths, those
20 over 65, and that is 89% of all the deaths.
21 LORD BRAILSFORD: 89?
22 A. 89% of all the deaths were in those over 65, and in fact
23 one in four of them was in those over 85.
24 LORD BRAILSFORD: Yes.
25 A. So very much a disease related to age and extremes of

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1 age, based on the England figures, but I would
2 confidently suggest that Scottish figures would be
3 comparable.
4 MR GALE: Yes. You don't see any reason why they would be
5 different?
6 A. I don't see any reason.
7 Q. If you go over the page to page 30, you make a reference
8 there to:
9 "A very small majority of children infected with
10 SARS-CoV-2 (approximately 1 in 3,000) developed
11 a multi-system inflammatory syndrome with Kawasaki
12 disease-like features; this is known as mucocutaneous
13 lymph node syndrome and as paediatric multisystem
14 inflammatory syndrome temporarily associated with
15 SARS-CoV-2 ..."
16 You said a small minority of children. What would
17 be the symptoms of that and the effect of that?
18 A. Well, again, it would depend on whatever system was
19 being involved. So because COVID can infect any system,
20 it's a multisystem disease as we agreed yesterday,
21 I guess any system, from the heart, the kidneys, the
22 brain, the lungs, or it may be many systems at the same
23 time.
24 Q. Anything that was the subject of the attack?
25 A. Yes. Indeed, yes.

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1 I had meant to put in there a further point, but
2 perhaps it was obvious, but one of the standard
3 textbooks I've been citing did go on to say that usually
4 they get better. Usually they get better. We have to
5 take that on trust.
6 Q. I am reminded, can you just perhaps indicate what — we
7 probably know the word "Kawasaki" from a different
8 context, but could you tell us what Kawasaki disease is?
9 A. I don't know what that is.
10 Q. You don't know?
11 A. It's clearly a very rare disease that paediatricians are
12 familiar with and they were surprised to see this in
13 children.
14 Q. Okay. Right.
15 You then go on to the pathological processes that
16 occur in COVID-19.
17 A. Mm.
18 Q. I think probably we can simply read that section at 2.18
19 for ourselves and perhaps move on to 2.19, which are the
20 clinical features of COVID-19.
21 You refer first of all to early features. Perhaps
22 you could just go through those, please.
23 A. Yes:
24 "In patients with symptomatic COVID-19 infection,
25 the initial symptoms are non-specific and appear after

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1 an incubation period of approximately 2 to 7 days;
2 typically [these initial] symptoms will include:
3 "— fever;
4 "— headache;
5 "— myalgia (i.e. muscle pain) and
6 "— malaise (i.e. general unwellness).
7 "At the same time [or around the same time], the
8 patient may experience anosmia (i.e. loss of smell),
9 and dysgeunia (i.e. distortion of taste)."
10 Q. And I think we probably are all familiar with those
11 being publicised at the time of the pandemic, and
12 I think any of us who have had COVID will probably
13 recognise all of those symptoms.
14 A. Yes.
15 Q. You then go on to the later features, and again it would
16 be useful perhaps if you just read through that section,
17 please.
18 A. Yes. So the majority of individuals with COVID will
19 recover spontaneously, and in China, up to 80% of those
20 who had been infected had only mild symptoms not
21 requiring hospitalisation. But in those other patients
22 with severe symptoms, over a matter of days or weeks,
23 COVID-19 may progress to one or more severe syndromes.
24 A syndrome is a symptom cluster, so it could be
25 respiratory syndrome, with dry cough, sore throat, nasal

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1 congestion, shortness of breath, and low oxygen
 2 saturation, or it could be some kind of cardiac
 3 syndrome, or coagulopathy, meaning a clotting disorder
 4 syndrome, or some other immune system that results in
 5 treatment difficulties .
 6 Q. Would you just continue on reading?
 7 A. Yes. So:
 8 "Other symptoms [beside these syndromes], such as
 9 profound fatigue and skin rashes may also be present.
 10 "50% of patients with confirmed COVID-19 will report
 11 ... gastrointestinal symptoms ... [mainly] diarrhoea (in
 12 38% of those who are sick) and vomiting (in 13%)."
 13 And sometimes the patient will have gastrointestinal
 14 symptoms and nothing else.
 15 "Some patients with severe COVID-19 may deteriorate
 16 rapidly and develop life-threatening complications,
 17 including:
 18 "- thromboembolic events [clotting events];
 19 "- cardiac disease;
 20 "- acute kidney injury;
 21 "- sepsis;
 22 "- septic shock; and
 23 "- multi-organ failure."
 24 Which is the herald of death.
 25 Q. Yes. Just continue on, please.

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1 A. So:
 2 "Natural immunity ..."
 3 When you've acquired SARS-CoV-2 naturally --
 4 naturally means it lasts up to one year before beginning
 5 to wane, and that sort of fits in with the natural
 6 immunity we normally experience with influenza:
 7 "... although the new strains and variants, such as
 8 Omicron, appear to exhibit greater immune escape [so
 9 they manage to evade the immune system] making
 10 reinfection more common."
 11 Q. You now deal, doctor, with, "How does COVID-19 present
 12 in the elderly?", and I think we look at this bearing in
 13 mind the material that we've looked at --
 14 A. Yes.
 15 Q. -- in relation to death rates.
 16 A. Yes.
 17 Q. So perhaps you could -- again, it's perhaps a section
 18 that is useful for you to read through, albeit that
 19 I appreciate you have a table there. If you could just
 20 read the text and just take us through the table.
 21 A. Yes. So, in the elderly, the presentation is different
 22 and atypical. The elderly may experience delirium and
 23 reduced mobility -- also immunocompromised individuals
 24 as well -- and often they don't have a fever.
 25 So there's a table summarising how COVID-19 presents

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1 in the elderly . So the non-specific signs and symptoms
 2 are different . So often they don't have a fever . They
 3 may not be breathless . They may, however, become
 4 delirious , and even severely delirious . They often
 5 don't have lung problems, particularly , but they can
 6 have clotting problems, thromboses, or gastrointestinal
 7 upset, diarrhoea and vomiting, like we've just talked
 8 about . So it can be difficult to pick up COVID-19 in
 9 the elderly if you're caring for them, or a doctor or
 10 nurse .
 11 The outcomes are different . Often they carry
 12 COVID-19 virus asymptotically for extended periods .
 13 The elderly have high morbidity, that means they are
 14 more likely to have severe disease, and mortality,
 15 they're more likely to have a fatal outcome .
 16 The elderly who survive COVID-19 will often
 17 experience functional decline, meaning their natural
 18 ability to function in their environment will get worse,
 19 and they may need rehabilitation, which is likely to be
 20 extensive and expensive .
 21 Here is the word "frailty" again . Those old people
 22 who are frail , over and above being old, have
 23 particularly poor outcomes, but even people who are very
 24 frail , it seems, can acquire COVID-19 and survive .
 25 And then it says here a frailty assessment is a good

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1 tool . I'm not quite sure how it's done, but there are
 2 numerical measures you can use to categorise somebody as
 3 being frail or not, and they can help people, for
 4 example, who manage care homes to decide as to how to
 5 manage a particular individual and what risk they may or
 6 may not be at . But they shouldn't be the only
 7 consideration; other factors should be involved as well .
 8 Q. Obviously all of those signs and symptoms in an elderly
 9 person would be distressing --
 10 A. Yes. Oh, yes.
 11 Q. -- for that person and for those who are their
 12 relatives , carers, loved ones .
 13 A. Yes.
 14 Q. But particularly , I suppose, delirium .
 15 A. Particularly delirium , when the person in front of you
 16 is just not the person that you knew two weeks ago, but
 17 someone quite different, yes .
 18 Q. You go on now to a subject that I think we are hearing
 19 more and more about, and that is long COVID .
 20 A. Yes .
 21 Q. The audience will be aware that the Inquiry has
 22 published an opinion on long COVID, to the extent that
 23 it intends to investigate long COVID .
 24 So, with that in mind, could you just take us
 25 through that relatively short passage that you have at

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1 2.22, at page 33 to 34, on long COVID.
 2 A. Yes. It's relatively short because there isn't much
 3 about it in the textbooks as yet. It is a recent
 4 phenomenon. But here we are:
 5 "As is the case also with other viral infections,
 6 such as infectious mononucleosis (i.e. ... 'glandular
 7 fever') ..."
 8 Glandular fever can often result in very long-term
 9 debilitating symptoms:
 10 "... COVID-19 may give rise to prolonged symptoms
 11 that persist for more than 4 weeks; this is known as
 12 long COVID. In the UK, 4.5% of COVID-19 cases report
 13 long-term symptoms 12-16 weeks after initial infection."
 14 So about 1 in 20 of cases:
 15 "Other terms for long COVID include post-COVID
 16 syndrome, and post-acute sequelae of COVID-19 (PASC).
 17 "Reported symptoms of long COVID are varied,
 18 involving most organ symptoms and affecting both
 19 physical and mental health. Commonly-reported long
 20 COVID symptoms are:
 21 "- shortness of breath;
 22 "- fatigue;
 23 "- headache; and
 24 "- difficulty thinking or concentrating.
 25 "In addition to [those four common symptoms], there

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1 is growing evidence of long-term cardiovascular sequelae
 2 of COVID-19, including cerebrovascular disorders
 3 [and I guess that means minor strokes, transient
 4 ischaemic attacks], cardiac dysrhythmias [where the
 5 heart rhythm isn't normal], heart failure [where the
 6 heart pump is no longer efficient], ischaemic and
 7 non-ischaemic heart disease [same thing, really],
 8 myocarditis [inflammation of the myocardium, the muscle
 9 of the heart], pericarditis [inflammation of the fibrous
 10 sac surrounding the heart] and thromboembolic disease
 11 [which means long-term clotting disease]."
 12 So all of those would fit under the category of long
 13 COVID.
 14 Q. Yes.
 15 In this Inquiry we have a group representing -- it's
 16 called Long Covid Kids.
 17 A. Yes.
 18 Q. So obviously children suffering long COVID.
 19 A. Yes.
 20 Q. Is that something of which you are aware of any research
 21 having been done?
 22 A. I would hope there's extensive research being done into
 23 long COVID, but I'm not familiar with the details of
 24 what research is being done in various centres.
 25 I believe it was first recognised as an entity in

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1 the UK, and initially other countries weren't aware of
 2 this phenomenon. But they now have become more aware,
 3 so it may be research is being done in other countries
 4 as well.
 5 Q. Yes, thank you.
 6 A. The belief --
 7 Q. I'm sorry.
 8 A. I'm so sorry. I understand that the belief is that for
 9 most people with long COVID, they do gradually get
 10 better; for most, obviously not for all. By analogy
 11 with glandular fever-type symptoms, where people can be
 12 very exhausted for months and months, but gradually they
 13 get better. But in the short term they will be often
 14 very disabled indeed.
 15 Q. You move on to a section on diagnostic tests.
 16 A. Yes.
 17 Q. I'm, with respect, going to take that as read. I think
 18 a lot of the tests are quite well known to us from our
 19 own experiences, as are the -- and I'm not going to take
 20 you through the laboratory findings or the radiological
 21 abnormalities.
 22 But what I would like to take you on to is 2.26 at
 23 page 36, please, which is, "How is COVID-19 treated?"
 24 and "Medical supportive care". I think this is
 25 something, again, we touched on yesterday --

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1 A. Yes.
 2 Q. -- under reference to, I think, one of the Cochrane
 3 reviews.
 4 Perhaps you could just read through what you have
 5 said there in section 2.26.
 6 A. Yes:
 7 "In the early stages of the pandemic, COVID-19
 8 patients with severe respiratory distress were often
 9 treated aggressively with intravenous fluids and
 10 mechanical ventilation; it became apparent however that
 11 intravenous fluids could exacerbate fluid in the lungs
 12 and further reduce oxygenation.
 13 "Another early clinical observation was that lying
 14 in a prone position (i.e. on the stomach, [is better
 15 than lying in the] ... supine position on the back
 16 [which is how you lie in intensive care, you're on your
 17 back]) led to improved oxygenation in patients who were
 18 receiving supplemental oxygen therapy through a face
 19 mask or nasal tubes. This [simple nursing measure]
 20 resulted in fewer intubations [where a patient is first
 21 of all paralysed through drugs and then a breathing tube
 22 inserted into them] ... which themselves were a[n
 23 additional] cause of morbidity and mortality."
 24 All of that is taken from standard textbooks, not my
 25 opinion.

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1 Then:
 2 "The current mainstay of COVID-19 management is
 3 medical supportive care.
 4 "COVID-19 patients who are coughing should initially
 5 be managed using simple non-drug measures (e.g. honey)."
 6 This is the British National Formulary advice to
 7 doctors, give them non-drug measures initially.
 8 If a patient has a distressing cough, you could use
 9 a cough suppressant, a simple cough linctus, in the
 10 short term, for example codeine phosphate.
 11 If a COVID-19 patient has a fever, the
 12 pharmaceutical advice is tell them:
 13 "... to drink fluids regularly to avoid dehydration,
 14 and to take [simple] antipyretics [drugs to take down
 15 the fever] (e.g. paracetamol or ibuprofen) ..."
 16 Q. The second aspect of COVID-19 treatment is
 17 pharmacological therapy.
 18 A. Yes.
 19 Q. You say that most drugs tested have shown marginal or
 20 disappointing efficacy against SARS, and you give the
 21 reference at footnote 186, which I think is to Louten,
 22 Essential Human Virology --
 23 A. Yes.
 24 Q. -- and it's either a paper or a book from 2023, so it's
 25 post the height of the pandemic.

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1 A. Yes.
 2 Q. I suppose to most of us, who immediately think that
 3 there's a pharmacological remedy for anything that we're
 4 suffering --
 5 A. Yes.
 6 Q. -- that may sound quite a surprising finding.
 7 A. Yes.
 8 Q. Did you find it surprising?
 9 A. Well, first of all I might qualify that by saying that
 10 the steroids don't come into the category. Steroids
 11 seem to be effective, dexamethasone. But I think what
 12 she's talking about there are antiviral drugs, so
 13 viral-specific drugs. Steroids come into the category
 14 of sort of standard, non-complicated measures.
 15 But a large number of antiviral drugs at various
 16 times were put forward as the wonderful remedy, and
 17 I think one came into the timeline with the words saying
 18 "Great news, this antiviral drug has now been approved
 19 for COVID", and it was a little bit surprising to see it
 20 stated that antiviral drugs don't seem to have much
 21 efficacy.
 22 I got a bit worried about this, because in the UK
 23 Inquiry they were told the opposite; they were told that
 24 drug therapy had had a remarkable effect in improving
 25 COVID outcomes. Again, I think what was really meant

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1 there was probably dexamethasone. So I was a bit
 2 concerned.
 3 Last week I thought: well, I'll see if there's
 4 a Cochrane review about -- I just chose one drug at
 5 random -- remdesvir, to see what the Cochrane people say
 6 about it. I found a Cochrane review which was published
 7 earlier this year, so it's publicly available. I'll
 8 just read one line from it.
 9 Cochrane review, published in January 2023, and is
 10 called, "Remdesvir for the treatment of COVID-19". They
 11 found a number of studies. They used the standard
 12 Cochrane methodology. They found nine randomised
 13 controlled trials of remdesvir to treat COVID-19, 11,218
 14 participants, and they were randomised to receive
 15 remdesvir or not receive remdesvir.
 16 I won't go through the details of the studies,
 17 they're available, but the authors' conclusions:
 18 "Based on the available evidence up to 31 May 2022
 19 [which is their cut-off point for the trial], remdesvir
 20 probably has little or no effect on all-cause mortality
 21 or in-hospital mortality of individuals with moderate to
 22 severe COVID-19."
 23 So that was certainly the position as of last year.
 24 So --
 25 LORD BRAILSFORD: You say you found that; was that produced

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1 in the bundle of documents?
 2 A. It wasn't. We could have had Cochrane reviews for every
 3 test, every --
 4 LORD BRAILSFORD: True, but could we get a copy of that,
 5 Mr Gale, please?
 6 MR GALE: Sure.
 7 LORD BRAILSFORD: Thank you.
 8 A. Yes. Indeed, there will be -- a number of other
 9 antiviral drugs are mentioned there, my Lord, and there
 10 will certainly be Cochrane reviews, either published or
 11 in progress, for all of those.
 12 Of course, bearing in mind that Cochrane reviews are
 13 dynamic, and as they say, in a year's time they might
 14 change their view, but it's unlikely they would change
 15 it.
 16 MR GALE: There is only one other sentence I would like to
 17 take you to. It's page 39.
 18 A. Yes.
 19 Q. It is in relation to -- it's after the various drugs
 20 that are mentioned, including remdesvir.
 21 A. Yes.
 22 Q. There's a paragraph which says:
 23 "The safety of COVID-19 antiviral treatment during
 24 pregnancy has not been established."
 25 I think the reference you give to that is from the

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1 British National Formulary.
 2 A. Yes. It's to -- yes. Yes, thank you, yes. Yes.
 3 Yes, the British National Formulary have become
 4 rather conservative, and they don't want doctors to
 5 start giving antiviral treatments, perhaps because the
 6 patient is demanding it, because they feel that the
 7 safety margin is still under exploration.
 8 MR GALE: Right.
 9 My Lord, that's perhaps a useful point at which to
 10 break.
 11 LORD BRAILSFORD: Of course, yes. Thank you.
 12 We'll take 15 or so minutes now. Thank you very
 13 much indeed.
 14 Thank you, doctor.
 15 (11.28 am)
 16 (A short break)
 17 (11.53 am)
 18 LORD BRAILSFORD: Thank you. We're just about to start
 19 again.
 20 However, there's something I would like to say. It
 21 has been brought to my attention, I should say, by
 22 Mr Gale's junior counsel, who must have been talking to
 23 people during the coffee break, that some of the
 24 solicitors that are present here are busy and
 25 assiduously taking notes.

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1 Can I save them some work, to be perfectly honest --
 2 and I apologise, perhaps I should have thought about
 3 this yesterday -- but this is all being recorded. The
 4 availability of a transcript will be there, and you will
 5 be able to get a transcript of this. I cannot say --
 6 I'll try and say, perhaps, at the beginning of the
 7 afternoon session -- when you can expect it, but my
 8 understanding is it should be available in very short
 9 order, probably next week sometime.
 10 So if that assists you and eases your hand muscles,
 11 I hope I'm doing some good.
 12 Right, Mr Gale, when you're ready.
 13 MR GALE: Thank you, my Lord.
 14 Just another piece of housekeeping. Dr Croft
 15 referred to the Cochrane review of remdesvir, of which
 16 he handed a copy, I think, to you. There is now a copy
 17 available for your Lordship.
 18 LORD BRAILSFORD: Thank you.
 19 MR GALE: And we have copies if anybody wants a copy. It
 20 will be available, perhaps at lunchtime, to be picked
 21 up. Fortunately, it's not quite of the length of the
 22 other Cochrane reviews that we have; it's only two
 23 pages, so it's manageable.
 24 Right, Dr Croft, can we go back to your statement at
 25 2.28, please, because you begin here with

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1 a consideration of probably what is a general but
 2 discrete area, and is in the context of, "How is
 3 COVID-19 prevented?", and there are various aspects of
 4 that.
 5 We will look at this in some more detail in
 6 section 3 of your report, which we will be going to
 7 next, and in particular the physical measures taken
 8 against COVID, but perhaps you can just take us through,
 9 first of all, the general public health measures that
 10 you identify.
 11 A. Yes. Shall I read on --
 12 Q. Yes, please read on.
 13 A. So general public health measures to prevent COVID-19:
 14 "COVID-19 may be prevented through standard
 15 infection control measures, along with the public health
 16 management of infected cases.
 17 "The most basic public health measure against
 18 COVID-19, which was implemented in all countries during
 19 the ... pandemic, was promoting frequent handwashing.
 20 Large-scale frequent surface decontamination efforts
 21 were deployed in public spaces, but the effect of these
 22 cleanings on reducing transmission was and remains
 23 uncertain."
 24 Q. I think that's something that we will be touching on in
 25 due course.

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1 A. We will, yes.
 2 Q. We move on now to PPE.
 3 A. So the second category of prevention is PPE:
 4 "All countries advised the use of personal
 5 protective equipment (PPE) by frontline healthcare staff
 6 during the COVID-19 pandemic. Challenges included the
 7 rapid depletion of PPE, the lag between the spread of
 8 infection and the acquisition of evidence required to
 9 inform precautions to control its spread, and frequent
 10 changes in PPE guidance in response to its
 11 availability ."
 12 Q. I think, just pausing there, one of the other challenges
 13 may also have been the varying quality of some PPE.
 14 A. Yes, indeed. And most countries recommended, and in
 15 some cases enforced, the use of face coverings by all
 16 adults, not simply healthcare staff, in places where
 17 close contact was likely.
 18 Q. Yes.
 19 We move from, as it were, PPE and general health
 20 measures to lockdowns now.
 21 I think you commented yesterday, under reference to
 22 the approach taken by the government of China in
 23 relation to lockdowns --
 24 A. Yes.
 25 Q. -- and I think the terminology came from that time.

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1 Would you just read on, please, at 2.30.
 2 A. Yes. Of course, there's a definition of lockdown in
 3 the --
 4 Q. There is, yes.
 5 A. We will take that as read.
 6 "A major strategy for attempting to limit the spread
 7 of SARS-CoV-2 was the introduction by some governments,
 8 starting with China, of extreme physical distancing
 9 measures; these have been termed lockdowns.
 10 "The components and restrictiveness of lockdowns
 11 varied, and not all countries employed lockdowns. Where
 12 lockdowns against COVID-19 were introduced, they
 13 typically included:
 14 -- the closure of schools, workplaces, non-essential
 15 shops, sporting and entertainment venues;
 16 -- a move to 'remote' (i.e. computer-based) working
 17 where possible;
 18 -- banning mass gatherings;
 19 -- curfews;
 20 -- stay-at-home orders; and
 21 -- other local, national and international travel
 22 restrictions.
 23 "In some countries where extreme physical distancing
 24 measures were employed early in the COVID-19 pandemic
 25 (e.g. New Zealand), they resulted in complete, although

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1 temporary, eradication of virus in the community."
 2 Q. You say there that in relation to New Zealand --
 3 A. Yes.
 4 Q. -- it was complete, although temporary, eradication of
 5 the virus. What happened after that temporary
 6 eradication, do you know?
 7 A. I'm assuming what it means there is that the lockdown
 8 temporarily eradicated -- temporarily, there were no
 9 COVID cases, because they had a policy of zero COVID,
 10 but then new cases emerged. But that's something that
 11 does need investigation. I believe there may be some
 12 evidence taken from New Zealand later on.
 13 The same was seen in China, of course, where even
 14 this year, even earlier this year, they were still
 15 having intermittent lockdowns focused on particular
 16 cities. They only abandoned it because of severe public
 17 unrest.
 18 Q. Yes.
 19 Right, the next area of prevention is social
 20 distancing. Again, would you read that, please.
 21 A. Yes. Social distancing:
 22 "In countries where extreme physical distancing
 23 measures (i.e. lockdowns) were not considered necessary,
 24 or else were temporarily relaxed, social distancing
 25 strategies were employed instead; these involved keeping

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1 people physically separate (a target of [greater than or
 2 equal to] 2 metres was used in the UK).
 3 "Vulnerable adults, including older and
 4 immunocompromised people, were advised to curtail all
 5 social interactions; this strategy was termed shielding,
 6 in the UK."
 7 Q. Finally in this section: test, trace and isolate
 8 measures.
 9 A. So test, trace and isolate measures:
 10 "Other measures used to limit, or attempt to limit,
 11 the spread of SARS-CoV-2 were high levels of case
 12 identification, with widespread testing in order to
 13 identify cases and ensure public health follow-up of
 14 potential cases, and enforcing quarantine measures for
 15 cases, contacts and travellers from high-incidence
 16 countries. The combination of such strategies has been
 17 termed test, trace and isolate (TTI).
 18 "More novel approaches to limit, or attempt to
 19 limit, the spread of SARS-CoV-2 included the use of
 20 mobile phone apps, and (depending on jurisdiction and
 21 legal constraints) use of CCTV footage and tracking of
 22 a contact's digital signature.
 23 "In the UK (including Scotland), and in other
 24 countries also, the processes of death certification
 25 were streamlined in early 2020, to deal with anticipated

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1 surges in deaths."
 2 Q. What is the significance of that?
 3 A. The significance is that, under certain conditions,
 4 a pathologist is required to perform a postmortem, but
 5 those conditions were relaxed significantly, and for
 6 a time I believe postmortems weren't even being done on
 7 COVID-19 cases. So, to some extent, the certainty that
 8 could be attributed to whether or not a person died of
 9 COVID-19 or with COVID-19 has to be considered
 10 a compounding factor in assessing the mortality. It
 11 wasn't done to, if you like, obfuscate the situation; it
 12 was a practical measure to deal with the anticipated
 13 very large number of deaths.
 14 Q. Right.
 15 Now, at 2.33, page 42, we go on to the sixth aspect
 16 of prevention, and this is, in terms of what you say,
 17 the most detailed, and that's vaccination.
 18 Now, again, vaccination is something we're going to
 19 come to in section 4 of your report, so I'm going to
 20 take this, with respect, relatively short.
 21 A. Yes.
 22 Q. I think we can see, at the very beginning, the COVID
 23 vaccine was developed at record speed --
 24 A. Yes.
 25 Q. -- and you identify four factors for that: prior

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1 research, the state of vaccine technology, abundant
 2 funding and a large group of willing volunteers.
 3 I think probably everybody who, again, remembers the
 4 circumstances of the pandemic and the development of the
 5 vaccine, and without the level of information that you
 6 would have had, probably thought, expressing a personal
 7 view here, as indeed did I, that a vaccine, I expected,
 8 would have taken a great deal longer than it did.
 9 You have identified these factors. Was there any
 10 worry about the fact that it was developed so quickly?
 11 A. Well, yes, people have expressed concern, and people
 12 were expressing concern at the time, and ideally
 13 a vaccine should be developed over a period of time to
 14 allow close understanding of how the vaccine may benefit
 15 the population and, equally, how harms may arise that
 16 might not be foreseen at first. So the speed of
 17 development was of concern.
 18 Then some vaccines are developed quickly, and
 19 vaccines for seasonal influenza are often developed
 20 quite quickly, because they will be based on the
 21 previous season's circulating virus, and typically they
 22 can be developed in seven or eight months. The
 23 influenza season ends and the companies that make
 24 vaccines start to develop the one that they anticipate
 25 will be effective in the next season. So there are some

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1 precedents for fast vaccine development.
 2 But, in general, it's not ideal to develop a drug or
 3 a vaccine at high speed because it means that
 4 potentially key stages in the analyses of either the
 5 efficacy or the harms might be missed or skipped over.
 6 Q. One of the things you mention — and I think you've
 7 already mentioned — at page 43 in the first paragraph,
 8 you mention that vaccine development, the phases were
 9 often run concurrently, so that had the effect of
 10 speeding up the process.
 11 A. Yes.
 12 Q. And I think also it's fairly obvious that this was
 13 a situation at which, if one can say it, money was
 14 little object.
 15 A. Indeed, yes.
 16 Q. Now, you give a date of 27 July 2020 in relation to the
 17 Pfizer—BioNTech vaccine, and of the Moderna vaccine, and
 18 I think then you say that globally vaccines against
 19 COVID—19 fall into one of three categories.
 20 A. Yes.
 21 Q. It's perhaps useful if you just explain this. I think
 22 we probably touched on it a little yesterday in relation
 23 to viral vector vaccines.
 24 A. Yes.
 25 Q. Perhaps you could just explain the difference — well,

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1 what each category of vaccine is and what are the
 2 differences.
 3 A. Yes. So a vaccine works by presenting antigens from the
 4 pathogen to the body's immune system, and the pathogen
 5 is surrounded by a number of molecules that the body's
 6 immune system will recognise as foreign, and the trick
 7 is to work out which of these molecules are particularly
 8 antigenic, which are the ones that especially trigger an
 9 immune response, and then put those into your vaccine
 10 and, in that way, trigger an immune response before the
 11 body is exposed to the pathogen.
 12 So component vaccines, in very crude terms, are
 13 conventional vaccines. You could regard them as being
 14 mashed up viruses; mash them all up and inject them into
 15 the person. It's not quite as simple as that because,
 16 in general, there's an attempt to try and extract some
 17 components from the whole architecture of the virus and
 18 put them into the body, if you like, preferentially.
 19 But that's a very rough way of looking at conventional
 20 vaccines.
 21 Q. Can you give an example of a conventional vaccine?
 22 A. Yes. For example, a conventional vaccine would be, for
 23 instance, the smallpox vaccine, which was efficient in
 24 eradicating smallpox in 1978, and that was effective
 25 because smallpox is a DNA virus, and DNA viruses are

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1 more stable. Therefore, if you achieve immunity against
 2 smallpox, you will never get smallpox. So you can
 3 vaccinate against smallpox and it will be very effective
 4 for your whole life. So that was a conventional
 5 vaccine.
 6 Q. And coronaviruses are RNA viruses?
 7 A. Well, this is the next sort of vaccine. It's possible
 8 to have a conventional vaccine against coronaviruses,
 9 because you just mash up the virus and inject it into
 10 patients, and, in very crude terms, that was what was
 11 done with the conventional technology that produced
 12 conventional vaccines.
 13 Q. Right.
 14 A. But there was a new approach, which was a genetic
 15 approach, to COVID—19. It had been tried out over about
 16 the previous decade, really since SARS, and the idea of
 17 the genetic approach really was to instruct the body of
 18 the host, the human host, to produce antibodies that
 19 would stop the virus from entering the cells.
 20 So we mentioned the spike protein earlier on that is
 21 the Yale key that attaches to the receptor on the cells,
 22 fuses with the receptor and opens the cell membranes so
 23 that the virus can get into the cells. So the spike
 24 protein, based on earlier research with SARS, the
 25 original SARS virus, was judged correctly to be, if you

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1 like, the key to achieve entry into the cells and so,
 2 therefore, was the target for the genetic approach to
 3 designing vaccines.
 4 Q. Now, you refer also to viral vector vaccines against
 5 these —
 6 A. Yes.
 7 Q. — and that is, you say, using novel technology.
 8 A. Yes.
 9 Q. Can you explain, please.
 10 A. Yes. So there are two ways of instructing the cell to
 11 produce antibodies against — actually, I jumped ahead
 12 a bit. You're instructing the cell — you're
 13 instructing the body to produce spike protein, and then
 14 the immune system of the body then recognises that spike
 15 protein and produces antibodies. I should have made
 16 that clear. That's the way vaccines based on genetic
 17 approaches work: they instruct the body to produce spike
 18 protein, so you produce spike protein, and then your
 19 immune system recognises a spike protein and responds to
 20 that by producing antibodies.
 21 So viral vector vaccine, they work by modifying
 22 harmless viruses that are not going to produce disease
 23 when they're injected into an individual, and the
 24 viruses are modified so they're carrying the DNA — DNA
 25 being genetic code — that instructs the body to

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1 produce — manufacture spike protein.
 2 The RNA viruses work slightly differently. They're
 3 carrying messenger RNA, which goes into the cells, into
 4 the nucleus of the cells, and the nucleus — and then
 5 the — and then — can I just check this one, my Lord.
 6 Essentially, the end result is that the cells
 7 likewise produce spike protein, but through two slightly
 8 different approaches.
 9 Q. Right.
 10 You have set out or taken a table which you can find
 11 at page 44 —
 12 A. Yes.
 13 Q. — of your report, and I think at the bottom of page 43,
 14 you say that the distinction between conventional and
 15 novel technology vaccines are shown the table overleaf.
 16 A. Yes.
 17 Q. And the first three categories in the table are shown,
 18 and these are: live attenuated vaccines —
 19 A. Yes.
 20 Q. — inactivated, killed—off whole—cell vaccines, and
 21 component vaccines. So the component vaccine was the
 22 one that you were referring to in your text.
 23 A. Yes. Yes. Yes.
 24 Q. But thereafter we are looking at novel technology.
 25 Does that have any meaning other than the fact it is

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1 new? So "novel technology". Or does it imply something
 2 beyond it being a newly developed technique?
 3 A. It's a way of categorising vaccines that is helpful.
 4 The first two categories are really the traditional
 5 ways. The component vaccines are kind of relatively
 6 modern ways by which they try and identify particular
 7 components of the pathogen that are likely to be
 8 especially immunogenic, and the components are
 9 concentrated in the vaccine, so they are an advance on
 10 the earlier two categories. Then the two bottom
 11 categories are the genetic approaches to producing
 12 vaccines.
 13 Q. So those two categories are the viral vector vaccines —
 14 A. That's right, yes.
 15 Q. — and the nucleic acid vaccines.
 16 A. Exactly, yes. Yes.
 17 Q. And I think we can see that COVID—19 falls within both
 18 the viral vector vaccines and the nucleic acid vaccines.
 19 A. Yes.
 20 Q. But also within the component vaccines, which I think
 21 you've explained as the — you put it as the mashed—up
 22 vaccine.
 23 A. Yes. That's right. So just to re — the viral vector
 24 vaccines carry DNA that codes for spike protein. They
 25 go into the nucleus and the nucleus produces

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1 messenger RNA, which goes into the ribosomes, which
 2 produce the spike protein. The bottom category have RNA
 3 which sort of bypasses the first process: the RNA goes
 4 straight to the ribosomes and produces spike protein.
 5 We can perhaps elaborate on that a little bit later.
 6 It comes a bit later in my report.
 7 Q. Yes.
 8 At page 45, at the top, you say that in the States,
 9 two mRNA vaccines were approved — well, received
 10 emergency use authorisation in December 2020 by the FDA.
 11 A. Mm.
 12 Q. These were the Moderna vaccine for use in individuals
 13 18 and over, and the Pfizer BioNTech vaccine, again for
 14 use in a similar — not quite similar, 16 or over age
 15 group.
 16 So you pass on, then, to look at the initial COVID
 17 vaccines procured in the UK, and I think — again,
 18 taking this short — you've listed four vaccines there:
 19 the AstraZeneca, the Janssen, the Moderna and the
 20 Pfizer—BioNTech.
 21 A. Yes.
 22 Q. I think at the bottom of page 45, you notice that the
 23 UK Government did announce in April 2021 that 20 million
 24 doses of the Janssen vaccine had been ordered from the
 25 manufacturer, but you go on to say that this vaccine has

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1 never been used in the UK.
 2 A. Yes. That's my understanding. Later on, I think we may
 3 have time to consider the MHRA report, and they have
 4 nothing to say about the Janssen vaccine because it
 5 hasn't actually been used on the public.
 6 Q. Am I right in thinking that it was used in Ireland?
 7 A. I believe it was used in Ireland, yes. Yes, it was.
 8 Q. But we don't at present -- and you are not able to
 9 assist us in knowing what happened post the ordering of
 10 20 million doses?
 11 A. Where the order went to, we don't know. We don't know.
 12 Q. The following page, at 46, you deal with later COVID-19
 13 vaccines procured in the UK. Again, you've provided
 14 these, and I think this takes us into booster use.
 15 A. Yes.
 16 Q. I think you've set that out in the second paragraph.
 17 Then, at 2.36 of your report, you talk about the
 18 current vaccination programme against COVID-19 in the
 19 UK, and you summarise that. I think you say, to begin
 20 with, that the three fairly obvious aims were:
 21 "– to provide protection for individuals who are
 22 considered at highest risk of severe illness or death
 23 from COVID ...
 24 "– to reduce hospitalisations; and
 25 "– to protect frontline health and social care staff

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1 from exposure."
 2 A. Yes.
 3 Q. And I think that you then go on to indicate that all UK
 4 adults and children aged five and a half or over are
 5 currently eligible for a primary vaccination course.
 6 Just explain what a primary vaccination course is.
 7 It probably is obvious, but just tell us.
 8 A. I think I might have the wrong citation, I do beg your
 9 pardon. That citation should be to the British National
 10 Formulary.
 11 Q. Oh, right. Well, with that correction, can you just
 12 tell us what a primary vaccination course is.
 13 A. Primary vaccination means the initial exposure of the
 14 recipient to the vaccine. So some vaccines are just
 15 a one-off exposure, just one vaccine will give you
 16 protection for life. Some require two or three
 17 sequential vaccines separated by a defined time interval
 18 in order to achieve immunity to the pathogen being
 19 immunised against.
 20 Q. Yes.
 21 A. So, for example, the hepatitis B vaccination, which
 22 I have had, which all doctors have to have, it's
 23 normally three courses of hepatitis B vaccine that you
 24 have over a period of six months, and that generally
 25 gives lifelong immunity to hepatitis B, which is a DNA

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1 virus, so you would expect that.
 2 Q. Yes.
 3 I think at page 47, the first full paragraph, you
 4 note that the manufacturers' initial advice during 2021
 5 was that COVID-19 vaccines should be administered as
 6 a two-dose schedule with three to four weeks between
 7 each dose.
 8 A. Yes. Some manufacturers had a slightly different
 9 interval, but the idea was there should be a first dose,
 10 then an interval of some weeks and then a second dose.
 11 Q. And again --
 12 A. That was the idea.
 13 Q. -- I think with other things that we will look at, that
 14 is obviously dependent on the willingness and the
 15 ability of the person to attend for the second dose.
 16 A. Yes. Yes.
 17 Q. So there could be some failures in that programme.
 18 A. Yes.
 19 Q. Yes.
 20 One point you raise -- it's a single paragraph in
 21 the middle of page 47 -- is that where possible the same
 22 COVID-19 vaccine should be used for the entire primary
 23 course.
 24 A. Yes.
 25 Q. Has that always been possible, do you know?

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1 A. It's a standard principle of immunisation technique --
 2 strategy that when you have a vaccine that requires two
 3 or three doses, you will try and use the same vaccine
 4 for each of those doses, because vaccines tend to work
 5 slightly differently, and you may not achieve proper
 6 immunity by chopping and changing as to what vaccine you
 7 use.
 8 Q. Right. Now, in the next two sections, doctor, you look
 9 at the way in which novel COVID-19 vaccines work.
 10 A. Yes.
 11 Q. You look first at vector vaccines and then mRNA
 12 vaccines --
 13 A. Yes.
 14 Q. -- going over on to page 48. Again, I will, with
 15 respect, take that as read from you without going into
 16 the detail of it. But I would like to look, please, at
 17 2.39 at page 49, which are booster doses.
 18 A. Yes.
 19 Q. And I think you note at the beginning that in
 20 September 2021, Israel became the first country to
 21 demonstrate waning protection from Pfizer vaccine,
 22 showing a decline in protection even against severe
 23 disease at around six months. This was perhaps the --
 24 at least the booster, for boosters, I suppose, if I can
 25 put it that way.

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1 A. Yes.
 2 Q. This was something that set us on the trail of booster
 3 doses.
 4 A. Indeed, I think it had been hoped that boosters wouldn't
 5 be necessary. Clearly the hope was that two doses would
 6 give extensive protection for a long period of time, but
 7 it became apparent this wasn't the case and the idea of
 8 boosters then came in.
 9 Q. I think you say — perhaps it would be useful to note —
 10 in the penultimate paragraph on page 49 that:
 11 "Protection against hospitalisation after an mRNA
 12 'booster' reaches over 90% in the 2 weeks after
 13 vaccination and then declines towards a stable plateau
 14 of around 60% by 6 months."
 15 Again, is that something that was expected?
 16 A. With hindsight, and given the — as you described it,
 17 Mr Gale — fact that we were dealing with a moving
 18 target, it should have been expected, but I don't think
 19 that was conveyed to the general public as a likely
 20 outcome. Certainly in England the expectation was we
 21 went into lockdown, but the vaccines were coming and
 22 they would release us from lockdown and everything would
 23 return to normal pretty much straight away.
 24 Q. Yes. So you set out at the bottom of page 49 the
 25 current UK practice in relation to the offering of

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1 a first booster. Perhaps you would just take us through
 2 that, please.
 3 A. Shall I read it out?
 4 Q. Yes, please.
 5 A. So:
 6 "Current UK practice ..."
 7 And this is drawn from the latest text in the
 8 Green Book which I've got here, which is April 2023,
 9 I believe:
 10 "... is to offer a first 'booster' ... at least
 11 3 months after completion of primary immunisation to the
 12 following groups ..."
 13 And there are three groups: firstly, all individuals
 14 aged 16 and over; secondly, children aged 12 to 15 years
 15 in clinical at-risk groups or who are household contacts
 16 of immunosuppressed individuals; and thirdly, children
 17 aged 5 to 11 years with severe immunosuppression.
 18 Q. Perhaps just carry on —
 19 A. Yes.
 20 Q. — to the bottom of that section, doctor.
 21 A. And then as well as boosters of the primary courses,
 22 also seasonal boosters that are programmed for spring
 23 and autumn every year. And the current UK practice is
 24 a seasonal booster, in addition to any booster you might
 25 already have had for your primary course, to be offered,

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1 provided there has been an interval of at least
 2 three months from the previous dose, to the following
 3 groups, and there are six groups here.
 4 Firstly, residents of and staff working in care
 5 homes for older adults. Secondly, frontline health and
 6 social care workers. Thirdly, all individuals aged
 7 50 years and over. Fourthly, individuals aged 5 years
 8 and above in a clinical at-risk group. Fifthly,
 9 individuals aged 5 years and over who are household
 10 contacts of immunosuppressed individuals. And finally,
 11 individuals aged 16 years and over who are carers.
 12 Q. Yes. You go on now to discuss specific patient groups.
 13 The first group you look at are pregnant females, and
 14 I think we've already touched on this matter.
 15 A. Yes.
 16 Q. But you say that current UK advice is that pregnant
 17 females should be offered immunisation against COVID-19
 18 as pregnancy is a risk factor for severe COVID
 19 infection.
 20 A. Yes.
 21 Q. So it's looking at it from that perspective, from the
 22 risk of infection?
 23 A. Yes.
 24 Q. I think also we have individuals with severe
 25 immunosuppression. Again, we've touched on this.

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1 Perhaps it would be useful at this stage if you gave
 2 an indication of what is understood in the public health
 3 field as severe immunosuppression.
 4 A. Yes. So immunosuppressed people are those whose immune
 5 system doesn't work — either doesn't work at all or
 6 doesn't work efficiently to protect them against
 7 pathogens.
 8 Q. Yes.
 9 A. And that could be because they have disease, either
 10 a chronic general disease such as diabetes or
 11 cardiovascular disease or kidney disease, or because
 12 they've got a disease specific to their immune systems
 13 like leukaemia, so they have no white cells or very few
 14 white cells; or alternatively they may — or HIV
 15 disease, which is a disease of a subset of the white
 16 cells; or alternatively they may be taking
 17 immunosuppressant drugs such as steroids or some of the
 18 current anticancer drugs, for example, methotrexate or
 19 monoclonal antibodies, and they work by deliberately
 20 suppressing the immune system so as to dampen down the
 21 inflammatory reaction of the body.
 22 Q. I think what you say at the bottom of page 50 is
 23 effectively a summary of what is contained in the
 24 previous two paragraphs, particularly about children.
 25 A. Yes.

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1 Q. You also go on to say at page 51 at the top that:
 2 "Current UK advice is that people with HIV
 3 infection, regardless of their CD4 count, should
 4 likewise be offered a third dose of [COVID] as part of
 5 the primary course, along with subsequent 'booster'
 6 doses."
 7 A. Yes.
 8 Q. You now look briefly at an area that you entitle "How
 9 safe are COVID-19 vaccines?", and you begin with
 10 thromboembolic clotting events. Perhaps you would just
 11 read through that, please.
 12 A. Yes:
 13 "Thromboembolic (clotting) events.
 14 "In early 2021 there were multiple reports of
 15 vaccine-induced immune thrombocytopaenia [that means low
 16 platelets] and thrombosis [that means clotting] (VITT)
 17 with the adenovirus vector vaccines Vaxzevria [which is
 18 the AstraZeneca vaccine, the Oxford vaccine] and
 19 Nuvaxovid [which is a Janssen vaccine]. VITT is
 20 a severe but rare blood clotting condition; it develops
 21 within 5 to 30 days of receiving vaccination."
 22 Q. When you say that there were multiple reports, do we
 23 have a figure for those reports?
 24 A. We have one later on. We do, yes. The citation I was
 25 using here, which was the British National Formulary,

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1 doesn't particularly mention the figure, but there is
 2 a figure, yes.
 3 Q. Yes, okay. We will come to that in due course.
 4 A. Yes.
 5 Q. And as you say, as from early 2023, AstraZeneca was not
 6 routinely supplied in the UK, and Janssen, as we've
 7 already heard, was initially procured but has never been
 8 supplied.
 9 A. Yes.
 10 Q. The second area of safety you look at is myocarditis.
 11 Again, if you would just read through that, please.
 12 A. Yes:
 13 "Myocarditis
 14 "The mRNA that is delivered to cells [messenger RNA
 15 that is delivered to cells] following challenge with
 16 COVID-19 nucleic acid vaccines (i.e. mRNA vaccines) is
 17 said to be normally degraded within a few days.
 18 "There have been reports of vaccine-associated
 19 myocarditis [that means inflammation of the heart
 20 muscle] with all COVID-19 mRNA vaccines."
 21 Again, the quote there is from the British National
 22 Formulary:
 23 "Although the mRNA monovalent Spikevax (Moderna) is
 24 licensed in the UK for use in children aged [greater
 25 than or equal to] 6 Years, current guidance is that the

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1 preferred COVID-19 vaccine for use in children is the
 2 mRNA monovalent [Pfizer vaccine], due to a lower
 3 reported rate of myocarditis [with the Pfizer vaccine]."
 4 Q. Right. You then go on to what are described as other
 5 adverse effects.
 6 A. Yes.
 7 Q. And we will come in due course to look at the Yellow
 8 Card system and the report on that.
 9 A. Yes.
 10 Q. What we have here is, I think, a reference to it which
 11 is:
 12 "A two-year analysis of Yellow Card reports (i.e.
 13 spontaneously-reported vaccine adverse effects),
 14 published in 2022 by the [MHRA], documented 2,362
 15 spontaneous reports suggesting a fatal outcome following
 16 COVID-19 vaccination; while of concern, the association
 17 does not prove causality."
 18 So you are noting there that, through the use of the
 19 Yellow Card report, there have been documented over
 20 2,000 spontaneous reports of a fatal outcome. Of course
 21 that, as you say, is of concern. It does not prove
 22 causality. Is that —
 23 A. It doesn't, no.
 24 Q. Yes.
 25 A. Still, that would be a high number that one would not

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1 expect to see with certainly most vaccines.
 2 Q. Yes. The other adverse events commonly reported are —
 3 include, and you have listed them there: Bell's palsy;
 4 Guillain-Barré syndrome, which is ascending paralysis;
 5 transverse myelitis, spinal cord inflammation; and
 6 menstrual disorders and vaginal bleeding.
 7 A. Yes.
 8 Q. Could you go on then and I think I'll ask you just to
 9 read through the final section in this part of your
 10 report, the future course of COVID-19.
 11 A. The future course of COVID-19:
 12 "On 5 May ... the World Health Organization declared
 13 that COVID-19 [was no longer] a public health emergency
 14 of international concern.
 15 "Epidemiological surveillance suggests that
 16 SARS-CoV-2 [which is the cause of COVID-19] is now
 17 becoming endemic (i.e. the virus is circulating at about
 18 the same incidence over a long period of time);
 19 endemicity is a feature of the four coronaviruses that
 20 have been known for many years to cause mild to moderate
 21 respiratory tract illness, including the common cold.
 22 Potentially, SARS-CoV-2 can still cause severe illness
 23 in those not previously exposed to the virus, either
 24 through natural infection or through vaccination.
 25 "It is possible to model the possible future

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1 behaviour of SARS-CoV-2 infection; no model however will
 2 be better than the assumptions on which it was built,
 3 and disease models are typically phrased in mathematical
 4 terms which can make them difficult to understand for
 5 the non-mathematician, and also lend them an air of
 6 exactitude that they seldom merit.”
 7 That’s a quote from a great Swedish epidemiologist,
 8 Johan Giesecke, who has written a really very good book
 9 about infectious disease epidemiology.
 10 Q. I think that’s the final footnote, footnote 264.
 11 A. Yes, it is.
 12 MR GALE: Right.
 13 My Lord, I wonder if I could take five minutes
 14 simply to rearrange papers and —
 15 LORD BRAILSFORD: Of course, absolutely.
 16 MR GALE: Before we go into the next set. It will only be
 17 a few minutes.
 18 LORD BRAILSFORD: Surely.
 19 Five minutes then, ladies and gentlemen.
 20 (12.37 pm)
 21 (A short break)
 22 (12.39 pm)
 23 MR GALE: Dr Croft, going on to part 3 of your report.
 24 A. Yes.
 25 Q. You deal here with physical measures taken against

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1 COVID-19. To a certain extent, this has already been
 2 prefaced in what we’ve been looking at.
 3 A. Yes.
 4 Q. You begin with a section in block here, and it’s headed
 5 “Specific knowledge – pre-pandemic”. This, I think,
 6 derives from the Jefferson 2011 paper Cochrane review.
 7 A. Yes, that summarised this state of knowledge, yes.
 8 Q. I wonder if you would just, first of all, take us
 9 through the key messages —
 10 A. Yes.
 11 Q. — and then we will look to a certain extent at the
 12 supporting statistics. But if you take us to the key
 13 messages, they are set out in the block section at
 14 page 54 of your report.
 15 A. Yes. Yes. Yes. Thank you, my Lord.
 16 These key messages I cut and pasted from the review
 17 itself, in the plain language summary of the review,
 18 which is on page 355.
 19 Q. Yes.
 20 A. I think it’s right at the very bottom paragraph,
 21 page 355, six lines up:
 22 “Respiratory virus spread can be reduced by hygienic
 23 measures (such as handwashing) ...”
 24 That’s where all of that comes from. So these
 25 aren’t my words; these are word-for-word transcriptions

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1 of the plain language summary key messages for
 2 laypeople, essentially.
 3 Q. Well, perhaps before we do that, we can have a look at
 4 the Cochrane review.
 5 A. Yes.
 6 Q. So this is document number 8 within the bundle, and it’s
 7 at page 354. I think we can see there that the lead
 8 author is Tom Jefferson, who was at that time at the
 9 Centre for Evidence Based Medicine at Oxford.
 10 Do you know Tom Jefferson?
 11 A. Yes, I do know him. I haven’t seen him for 20 years or
 12 so, but I know him. He trained at Glasgow in medicine.
 13 Q. I think, just looking at some of the detail of this, the
 14 publication status and date, it says that, albeit it’s
 15 2011: “Edited ([but] no change to conclusions),
 16 published in issue 4, 2020”. So there has been some,
 17 presumably, iterative updating of the document, but as
 18 it says, no change in conclusions.
 19 A. Yes.
 20 Q. Then if we go on to the abstract, we can see that the
 21 background is, it says:
 22 “Viral epidemics or pandemics of acute respiratory
 23 infections like influenza or severe acute respiratory
 24 syndrome pose a global threat. Antiviral drugs and
 25 vaccinations may be insufficient to prevent their

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1 spread.”
 2 That’s the context in which the authors of the
 3 Cochrane review were proceeding.
 4 A. Yes.
 5 Q. Then set out are the objectives, and it seems a single
 6 objective:
 7 “To review the effectiveness of physical
 8 interventions to interrupt or reduce the spread of
 9 respiratory viruses.”
 10 A. Mm—hm.
 11 Q. We then have a passage on search methods, and I think we
 12 can, with respect, pass over that.
 13 Then some information about selection criteria,
 14 which may be quite interesting just to read. It says:
 15 “In this update, two review authors independently
 16 applied the inclusion criteria to all identified and
 17 retrieved articles and extracted data. We scanned 3775
 18 titles, excluded 3560 and retrieved full papers of 215
 19 studies, to include 66 papers of 67 studies. We
 20 included physical interventions (screening at entry
 21 ports, isolation, quarantine, social distancing,
 22 barriers, personal protection, hand hygiene) to prevent
 23 respiratory virus transmission. We included randomised
 24 controlled trials ... cohorts, case-controls,
 25 before-after and time series studies.”

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1 And then we go on to see, on the following page at
2 355, the main results.
3 Now, these are obviously preparatory to what is
4 contained within the plain language study. I think it
5 might be useful, doctor, if you would just read out what
6 were the main results, so far as the authors were
7 concerned at that time.
8 A. Yes. So "Main results". This is on page 355, my Lord:
9 "We included 67 studies including randomised
10 controlled trials and observational studies with a mixed
11 risk of bias. A total number of participants is not
12 included as the total would be made up of a heterogenous
13 set of observations (participant people, observations on
14 participants and countries (object of some studies)).
15 The risk of bias for five [randomised controlled trials]
16 and most cluster-[randomised controlled trials] was
17 high. Observational studies were of mixed quality.
18 Only case-control data were sufficiently homogeneous to
19 allow meta-analysis. The highest quality
20 cluster-[randomised controlled trials] suggest
21 respiratory virus spread can be prevented by hygienic
22 measures, such as handwashing, especially around younger
23 children. Benefit from reduced transmission from
24 children to household members is broadly supported also
25 in other study designs where the potential for

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1 confounding is greater. Nine case-control studies
2 suggested implementing transmission barriers, isolation
3 and hygienic measures are effective at containing
4 respiratory virus epidemics. Surgical masks or N95
5 respirators were the most consistent and comprehensive
6 supportive measures. N95 respirators were non-inferior
7 [meaning they were the same] to simple surgical masks
8 but more expensive, uncomfortable and irritating to
9 skin. Adding virucidals or antiseptics to normal
10 handwashing to decrease respiratory disease transmission
11 remains uncertain. Global measures, such as screening
12 at entry ports, led to a non-significant [meaning
13 statistically non-significant] marginal delay in spread.
14 There was limited evidence that social distancing was
15 effective, especially if related to the risk of
16 exposure."
17 Q. Right.
18 Just as a matter of detail -- and I think we will
19 come to look at this in some detail later on -- N95
20 respirators, what are they?
21 A. I would hope they would define them, but they're clearly
22 some kind of advanced respirators which isn't just
23 a cloth mask. They must incorporate some kind of
24 screen.
25 Q. So it's not sort of an advanced form of surgical mask;

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1 it is something --
2 A. No.
3 Q. And clearly they have the potential, as is observed
4 there, to be uncomfortable and irritating.
5 A. Yes.
6 LORD BRAILSFORD: What does respirator mean?
7 A. A respirator is a gas mask, basically. So it's not just
8 relying on cloth; it's relying on some kind of screen or
9 mesh. Certainly military gas masks, which I'm familiar
10 with, there's a charcoal filter as well. So the air
11 goes through a filter. But it's one step up from
12 surgical masks.
13 But in any event, they are no more effective than
14 surgical masks.
15 MR GALE: Right.
16 Doctor, we go on, then, to the authors' conclusions,
17 which are just in two lines. Would you read those out,
18 please.
19 A. "Authors' conclusions
20 "Simple and low-cost interventions would be useful
21 for reducing transmission of epidemic respiratory
22 viruses. Routine long-term implementation of some
23 measures assessed might be difficult without the threat
24 of an epidemic."
25 Q. Then we have the plain language summary which forms the

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1 basis for what is on page 54 of your report.
2 A. Yes.
3 Q. So from whichever source you want, can you read out what
4 the plain language summary and the key messages are.
5 A. Yes. Shall I just go to the second paragraph, Mr Gale?
6 Q. Yes.
7 A. The first paragraph is the background. So second
8 paragraph:
9 "We included 67 studies ..."
10 Mixed risk of bias for the observational studies,
11 and that's a reference to the hierarchy of evidence.
12 They are saying there are observational studies, but
13 they are likely to be biased; some of them very biased,
14 some of them slightly biased.
15 Then they go on to say, third line down -- this is
16 where I take up my direct quotation:
17 "Respiratory virus spread can be reduced by hygienic
18 measures (such as handwashing), especially around
19 younger children. Frequent handwashing can also reduce
20 transmission from children to other household members."
21 My third bullet point was the next one:
22 "Implementing barriers to transmission, such as
23 isolation, and hygienic measures (wearing masks, gloves
24 and gowns) can be effective in containing respiratory
25 virus epidemics or in hospital wards."

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1 I think there are some words missing. I think there
 2 were probably some words there, "in clinics or in
 3 hospital wards", because they're quite emphatic that the
 4 evidence for these barriers to transmission is strong
 5 for healthcare environments, but it isn't strong in the
 6 community generally.
 7 Q. So we shouldn't just restrict it to hospital wards?
 8 A. Well, we should restrict it, but hospital wards and
 9 clinics .
 10 Q. Yes.
 11 A. I think that's what they are saying, yes, but they are
 12 saying there's not much evidence that these type of
 13 barriers are useful in the community, although of course
 14 they're relatively cheap.
 15 "We found no evidence that the more expensive,
 16 irritating and uncomfortable N95 respirators were
 17 superior to simple surgical masks. It is unclear if
 18 adding virucidals [chemicals that kill viruses] or
 19 antiseptics to normal handwashing with soap is more
 20 effective ."
 21 So they're saying probably handwashing with soap is
 22 probably as effective as the more complex agents:
 23 "There is insufficient evidence to support screening
 24 at entry ports and social distancing (spatial separation
 25 of at least one metre between those infected and those

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1 non-infected) as a method to reduce spread during
 2 epidemics."
 3 And the reason there is insufficient evidence is
 4 probably because high-quality randomised controlled
 5 trials haven't been done.
 6 Most of the data is, they admit, taken from
 7 case-controlled studies, level IIb evidence, and studies
 8 that are even less powerful evidence than
 9 case-controlled studies; this is in contrast with the
 10 later review, which they focus very much on randomised
 11 controlled trials .
 12 MR GALE: Perhaps we can look at the later review after
 13 lunch.
 14 My Lord, it might be an appropriate point to pause.
 15 LORD BRAILSFORD: Very good.
 16 Again, we stop a little early, so we will come back
 17 a little earlier. About 1.40, please.
 18 (12.53 pm)
 19 (The short adjournment)
 20 (1.40 pm)
 21 LORD BRAILSFORD: Right, good afternoon, everyone.
 22 Mr Gale, when you're ready.
 23 MR GALE: Thank you, my Lord.
 24 Dr Croft, we were looking at the Cochrane review,
 25 the Jefferson paper, and we had looked at the plain

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1 language summary which you replicate. It's page 54 of
 2 your report.
 3 I wonder if we could just look a little more at that
 4 Jefferson paper.
 5 Could you go, please, to page 367 within the paper.
 6 A. Yes.
 7 Q. I think we can see there that there's a summary of the
 8 evidence. Again, it's perhaps a slightly arduous task,
 9 but I wonder if you would just read through that, so we
 10 have that into the notes, please.
 11 A. Yes. So the evidence seems to be mainly based on
 12 cluster randomised trials, and we know what they are
 13 now. So:
 14 "The highest quality cluster—randomised trials
 15 indicate most effect on preventing respiratory virus
 16 spread from hygienic measures in younger children.
 17 Perhaps this is because younger children are least
 18 capable of hygienic behaviour themselves ... and have
 19 longer-lived infections and greater social contact,
 20 thereby acting as portals of infection into the
 21 household ... Additional benefit from reduced
 22 transmission from them to other members of the household
 23 is broadly supported by the results of other study
 24 designs where the potential for confounding is greater."
 25 Shall I carry on?

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1 Q. Yes. Just carry on reading for the extent of the
 2 evidence summarised.
 3 A. Sure.
 4 "The pooled case-control studies [and those are
 5 level Ib evidence], which focused on the SARS
 6 coronavirus ... suggest that implementing barriers to
 7 transmission, isolation and hygienic measures are
 8 effective with the use of relatively cheap interventions
 9 to contain respiratory virus epidemics. We found
 10 limited evidence of the superior effectiveness of
 11 devices such as the N95 respirator over simple surgical
 12 masks. This evidence is supported by a high quality
 13 hospital-based trial ... which reports non-inferiority
 14 between face barriers [meaning face masks are the same
 15 as N95 respirators]. Overall masks were the best
 16 performing intervention across populations, settings and
 17 threats. More expensive and uncomfortable (especially
 18 if worn for long periods) than simple surgical masks,
 19 N95 respirators may be useful in very high-risk
 20 situations but additional studies are required to define
 21 these situations.
 22 "It is uncertain whether the incremental effect of
 23 adding virucidals or antiseptics to normal handwashing
 24 actually decreased the respiratory disease burden
 25 outside the confines of the rather atypical studies,

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1 upon which we reported. The extra benefit may have
2 been, at least in part, accrued by confounding
3 additional routines.

4 "Studies preventing transmission of [respiratory
5 syncytial virus] and similar viruses appeared to be
6 closer to real life and suggest good effectiveness.
7 However, methodological quality concerns of the
8 controlled before and after studies, mentioned
9 previously, suggest benefits may have been due to
10 population differences, especially virus infection
11 rates. These were poorly reported in most studies.

12 "Routine long-term implementation of some of the
13 measures assessed in this review would be problematic,
14 particularly maintaining strict hygiene and barrier
15 routines for long periods of time. This would probably
16 only be feasible in highly motivated environments, such
17 as hospitals, without a real threat of a looming
18 epidemic. Most of the trial authors commented on the
19 major logistic burden that barrier routines imposed at
20 the community level. However, the threat of a looming
21 epidemic may provide stimulus for their inception.

22 "A disappointing finding was the lack of proper
23 evaluation of global and highly resource-intensive
24 measures such as screening at entry ports and social
25 distancing. The handful of studies (mostly conducted

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1 during the SARS epidemic) do not allow us to reach any
2 firm conclusions."

3 They end by saying:

4 "It is remarkable that despite a long lead time to
5 the declaration of a pandemic, an international,
6 prospective study to evaluate entry screening practices
7 was not set up. The study by Cowling et al is a good
8 contribution to our evidence base but no substitute for
9 a well designed and conducted trial ... Finally, few
10 studies reported harms from the interventions studied.
11 Harms affect compliance, which may decrease even if the
12 intervention is merely cumbersome (such as a mask) and
13 the threat is unclear."

14 Q. I think one of the interesting points to draw from that
15 summary is what is contained in the penultimate
16 paragraph in the left-hand column; that the authors note
17 that routine long-term implementation of some of the
18 measures assessed in this review would be problematic,
19 particularly maintaining strict hygiene and barrier
20 routines for long periods of time.

21 A. Yes.

22 Q. I think they then go on to say that, in a way, attention
23 might be focused were there to be a more urgent and
24 pressing pandemic problem.

25 A. Yes.

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1 Q. Obviously, that perhaps leads us conveniently on to
2 where we are now.

3 A. Yes, indeed.

4 Q. Would you look, please, at the authors' conclusions at
5 367 on the right-hand column.

6 A. Yes.

7 Q. I think what the authors say there -- they divide it
8 into two sections. One is "Implications for
9 practice" --

10 A. Yes.

11 Q. -- and then they go on to "Implications for research".
12 For present purposes, can we just look at the
13 implications for practice.

14 A. Yes.

15 Q. I think what they highlight there:

16 "The following effective interventions should be
17 implemented, preferably in a combined fashion, to reduce
18 transmission of viral respiratory disease ..."

19 They then listed:

20 "1. frequent handwashing with or without adjunct
21 antiseptics;

22 "2. barrier measures such as gloves, gowns and masks
23 with filtration apparatus; and

24 "3. suspicion diagnosis with isolation of likely
25 cases.

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1 "Special efforts should be focused on implementing
2 the three above interventions in order to reduce
3 transmission from young children, who are generally the
4 most fecund sources of respiratory viruses."

5 I think anybody who has had a child will know that
6 getting a child to wash their hands is probably one of
7 the larger difficulties of parenthood.

8 A. Yes.

9 Q. For completion, doctor, can we go to pages 464 to 466
10 within that, so the last few pages in the first volume,
11 my Lord.

12 A. Yes.

13 Q. I think there we see the summary. Table 2 is the
14 summary of main events.

15 A. Yes.

16 Q. I think we can see the events that were considered by
17 the authors utilising the randomised trials went from
18 handwashing, handwashing with an antiseptic, surface
19 disinfection, gargling with iodine, nose wash, etc. I'm
20 not going to go through them all. But that's a summary
21 in tabular form of the results which they obtained.

22 A. Yes.

23 Q. Right.

24 A. Just commenting on that, obviously the left-hand column
25 is the most reliable evidence, and then there's slightly

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1 less — the left two columns are the randomised
 2 controlled trials , and then as you go to the right, you
 3 get less and less reliable evidence.
 4 Q. Could we go to the other Cochrane review, which is
 5 document 9. Could we go to page 477. It's the first
 6 document in the second volume, my Lord.
 7 Now, this is the Jefferson Cochrane review of 2023.
 8 A. Mm—hm.
 9 Q. And, again, we can see, at page 477, that its
 10 publication status and date is that it has been edited,
 11 no change to conclusions, and it was published in 2023.
 12 No precise date is given.
 13 Again, looking at the abstract, we can see there
 14 that there's something that we've already read, but
 15 I think it goes further, where it says:
 16 "Viral epidemics or pandemics of acute respiratory
 17 infections ... pose a global threat. Examples are
 18 influenza (H1N1) caused by the H1N1 ... virus in 2009,
 19 severe acute respiratory syndrome (SARS) in 2003, and
 20 coronavirus disease 2019 (COVID-19) ..."
 21 So just getting the context, we have material in
 22 here which has regard to the circumstances of the COVID
 23 pandemic.
 24 A. Yes, we have now. Yes, indeed.
 25 Q. It goes on to say:

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1 "Antiviral drugs and vaccines may be insufficient to
 2 prevent their spread."
 3 I think that's something that was also observed in
 4 the Cochrane 2011 abstract.
 5 It says:
 6 "This is an update of a Cochrane Review last
 7 published in 2020 [which I think we've seen]. We
 8 include results from studies from the current COVID-19
 9 pandemic."
 10 A. Yes.
 11 Q. So we have information informed by the experience of
 12 that pandemic.
 13 A. Mm—hm.
 14 Q. Again, the objectives:
 15 "To assess the effectiveness of physical
 16 interventions to interrupt or reduce the spread of acute
 17 respiratory viruses."
 18 A. Yes.
 19 Q. I'm not going to read through them, but there's then
 20 selection criteria and data collection and analysis.
 21 If one goes over to the next page —
 22 A. Yes.
 23 Q. — 478, we see a passage that begins "Main results".
 24 Again, I don't intend to go through that in any detail
 25 at this stage, but I think the potential measures are

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1 then grouped: "Medical/surgical mask compared to no
 2 masks", "N95/P2 respirators compared to medical/surgical
 3 masks", and then "Hand hygiene compared to control".
 4 Then we find the authors' conclusions there, and
 5 perhaps you would read through that, Dr Croft, so again
 6 we have it in the evidence.
 7 A. Yes. Authors' conclusions from the January 2023
 8 Jefferson updated review:
 9 "The high risk of bias in the trials , variation in
 10 outcome measurement, and relatively low adherence with
 11 the interventions during the studies hampers drawing
 12 firm conclusions. There were additional RCTs
 13 [randomised controlled trials] during the pandemic
 14 related to physical interventions but a relative paucity
 15 given the importance of the question of masking and its
 16 relative effectiveness and the concomitant measures of
 17 mask adherence which would be highly relevant to the
 18 measurement of effectiveness, especially in the elderly
 19 and in young children.
 20 "There is uncertainty about the effects of face
 21 masks. The low to moderate certainty of evidence means
 22 our confidence in the effect estimate is limited, and
 23 that the true effect may be different from the observed
 24 estimate ..."
 25 I think they mean the calculated estimate of the

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1 effect :
 2 "The pooled results of RCTs did not show a clear
 3 reduction in respiratory viral infection with the use of
 4 medical/surgical masks. There were no clear differences
 5 between the use of medical/surgical masks compared with
 6 N95/P2 respirators in healthcare workers when used in
 7 routine care to reduce respiratory viral infection .
 8 Hand hygiene is likely to modestly reduce the burden of
 9 respiratory illness , and although this effect was also
 10 present when [influenza—like illness] and
 11 laboratory—confirmed influenza were analysed separately,
 12 it was not found to be a significant difference for the
 13 latter two outcomes. Harms associated with physical
 14 interventions were under—investigated."
 15 Finally —
 16 Q. Just pausing on that, the reference to, "Harms
 17 associated with physical interventions were
 18 under—investigated", it's not expanded upon there. What
 19 do you understand by that comment?
 20 A. Well, "harms" is a broad term encompassing everything
 21 from inconvenience to death, and probably also including
 22 economic costs and societal costs. That, I think, is
 23 what they're getting at; that some of the interventions
 24 carry a very modest or almost no risk of harm, and they
 25 would probably include — and almost none — they would

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1 include, probably, handwashing. They say you don't want
2 to wash your hands too often at one point. So they
3 would carry almost no risk of harms. But other
4 interventions — and here I think they would include
5 social distancing and — would have a greater risk of
6 harm.

7 They talk about the harms of closing international
8 boundaries, and there I think they are just talking
9 about the economic and the harms to people wanting to
10 travel. That's a basic — a fundamental restriction on
11 our civil liberties. So those are harms that are in
12 a different category.

13 The specialised respirators, it comes up again and
14 again in the trials that people don't like wearing these
15 close-fitting N95 and P2 respirators. So the harms of
16 that have to be taken into account, even though they
17 might seem to be very effective. Nevertheless, are
18 people really going to wear them when they are not in
19 a highly disciplined environment like a hospital?

20 So it's a broad term, and this is a feature of
21 randomised controlled trials. The authors want to
22 stress the benefits of the new drug, the new vaccine,
23 the new product that they've investigated, and they tend
24 to downplay the potential harms because that's kind of
25 human nature.

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- 1 Q. And as I think you've indicated, the harms can range
2 from matters of probably relatively insignificant harm,
3 such as inconvenience —
- 4 A. Yes.
- 5 Q. — irritation, perhaps, looking at the N95
6 respirators —
- 7 A. Yes.
- 8 Q. — but across to more serious socio-economic harms.
- 9 A. Yes.
- 10 Q. Again, I think we discussed yesterday that you would see
11 that as being part of your remit —
- 12 A. Yes.
- 13 Q. — as a public health consultant.
- 14 A. Yes. Yes. Indeed.
- 15 Q. I think we go on to see, again, a plain language
16 summary, and the key messages that are derived from the
17 research by Jefferson and others are those that are
18 reproduced at page 55 in the block section of your
19 report; is that right?
- 20 A. Yes, they are. Yes. They only have two key messages
21 there, so I have just transcribed them directly into the
22 report at page 55.
- 23 Q. Yes.
- 24 I think, again, utilising the plain language
25 summary, there's a list of physical measures set out

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1 there which I think, again, are reflected elsewhere.

2 A. Mm.

3 Q. And we also have a passage, "What did we want to find
4 out?", and the authors say:

5 "We wanted to find out whether physical measures
6 stop or slow the spread of respiratory viruses from
7 well-controlled studies in which one intervention is
8 compared to another, known as randomised controlled
9 trials."

10 Can I take you, then, to page 510, which is a more
11 expansive view or summary of the authors' conclusions in
12 the left-hand column.

13 I think we can possibly — well, again, can I burden
14 you, doctor, with reading through what is said there.

15 I don't think it's necessary to intersperse the various
16 studies, but if you could just read through the text
17 under "Implications for practice".

18 A. Yes:

19 "Implications for practice

20 "The evidence summarised in this review on the use
21 of masks is largely based on studies conducted during
22 traditional peak respiratory virus infection seasons up
23 until 2016. Two relevant randomised trials conducted
24 during the COVID-19 pandemic have been published, but
25 their addition had minimal impact on the overall pooled

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1 estimate of effect. The observed lack of effect of mask
2 wearing in interrupting the spread of influenza-like
3 illness ... or influenza/COVID-19 in our review has many
4 potential reasons, including: poor study design;
5 insufficiently powered studies arising from low viral
6 circulation in some studies; lower adherence with mask
7 wearing, especially amongst children; quality of the
8 masks used; self-contamination of the mask by hands;
9 lack of protection from eye exposure from respiratory
10 droplets (allowing a route of entry of respiratory
11 viruses into the nose via the lacrimal duct); saturation
12 of masks with saliva from extended use (promoting virus
13 survival in proteinaceous material); and possible risk
14 compensation behaviour leading to an exaggerated sense
15 of security ...

16 "Our findings show that hand hygiene has a modest
17 effect as a physical intervention to interrupt the
18 spread of respiratory viruses, but several questions
19 remain. First, the high heterogeneity between studies
20 [meaning different study characteristics] may suggest
21 that there are differences in the effect of different
22 interventions. The poor reporting limited our ability
23 to extract the information needed to assess any 'dose
24 response' relationship, and there are few head-to-head
25 trials comparing hand hygiene materials (such as

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1 alcohol-based sanitiser or soap and water). Second, the
 2 sustainability of hand hygiene is unclear where
 3 participants in some studies achieved 5 to 10
 4 handwashings per day, but adherence may have diminished
 5 with time as motivation decreased, or due to adverse
 6 effects from frequent hand-washing. Third, there is
 7 little evidence about the effectiveness of combinations
 8 of hand hygiene with other interventions, and how those
 9 are best introduced and sustained. Finally, some
 10 interventions were intensively implemented within small
 11 organisations, and involved education or training as a
 12 component, and the ability to scale these up to broader
 13 interventions is unclear.

14 "Our findings with respect to hand hygiene should be
 15 considered generally relevant to all viral respiratory
 16 infections, given the diverse populations where
 17 transmission of viral respiratory infections occurs.
 18 The participants were adults, children and families, and
 19 multiple congregation settings including schools,
 20 childcare centres, homes, and offices. Most respiratory
 21 viruses, including the pandemic SARS-CoV-2, are
 22 considered to be predominantly spread via respiratory
 23 particles of varying size or contact routes, or both ...
 24 Data from studies of SARS-CoV-2 contamination of the
 25 environment based on the presence of viral ribonucleic

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1 acid and infectious virus suggest significant fomite
 2 contamination ... Hand hygiene would be expected to be
 3 beneficial in reducing the spread of SARS-CoV-2 similar
 4 to other beta coronaviruses (SARS-CoV-1, Middle East
 5 respiratory syndrome ... and human coronaviruses), which
 6 are very susceptible to the concentrations of alcohol
 7 commonly found in most hand-sanitiser preparations ...
 8 Support for this effect is the finding that poor hand
 9 hygiene, despite the use of full personal protective
 10 equipment ... was independently associated with an
 11 increased risk of SARS-CoV-2 transmission to healthcare
 12 workers in a retrospective cohort study in Wuhan, China
 13 in both a high-risk and low-risk clinical unit for
 14 patients infected with COVID-19 ... The practice of hand
 15 hygiene appears to have a consistent effect in all
 16 settings, and should be an essential component of other
 17 interventions."

18 Q. I think I can stop you there, doctor.

19 The summary of the main results -- and I can simply
 20 give the reference to this -- is to be found at
 21 pages 770 to 772.

22 A. Yes, in the tables.

23 Q. In the tables.

24 A. Yes.

25 Q. It's an overall summary. Each area of study also has

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1 a summary as well. So the detail is there and then it
 2 is aggregated into a final table.

3 A. Interestingly, these tables, compared to the previous
 4 ones, are focusing on randomised controlled trials.

5 They don't include the others. Not in the tables.

6 Q. There's one matter that I would like to ask you about,
 7 and it takes us back to page 509 in the Jefferson
 8 review, which is quite close to where we were previously
 9 reading. I think in the right-hand column, towards the
 10 top of 509, there's a paragraph which begins:

11 "The two RCTs of medical/surgical masks during the
 12 SARS-CoV-2 pandemic found uncertain evidence of a small
 13 or no effect ... The study by Abaluck 2022 found a
 14 statistically significant benefit of masks versus no
 15 masks for COVID-like-illness, however, this study was
 16 rated at high risk of bias for five of the six domains
 17 due to issues including baseline imbalance, subjective
 18 outcome assessment and incomplete follow-up across the
 19 groups. Despite this study contributing 45% of the
 20 weight towards the meta-analysis of
 21 influenza/COVID-like-illness for masks versus no masks,
 22 the updated conclusions from the analysis strengthened
 23 around little or no effect of mask use."

24 A. Yes.

25 Q. Those two studies, I think you've helpfully reproduced

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1 at I think it's appendix 5.

2 My Lord, if my Lord wishes -- and anyone else
 3 wishes -- the references, the study by Abaluck is in the
 4 bundle at pages 952 to 964, and the study by Bundgaard
 5 is at pages 965 to 974.

6 Perhaps we can shortcut that by looking to
 7 appendix 5, please.

8 A. Yes.

9 Q. Perhaps you could just help us by taking us through what
 10 was the Bundgaard -- the Bundgaard study was, I think,
 11 in Denmark.

12 A. It was carried out in Copenhagen, I believe. Yes.

13 Q. And the Abaluck study was carried out in Bangladesh.

14 A. Yes.

15 Q. Could you just take us through what you say, first of
 16 all in relation to the Bundgaard study.

17 A. Yes. Shall I just read it through?

18 Q. Yes, please.

19 A. "The Bundgaard study

20 "The ... study was a randomised controlled trial
 21 carried out in Denmark in April-May 2020 (i.e. at the
 22 start of the COVID-19 pandemic). At that time, mask
 23 wearing was not amongst the recommended public health
 24 measures in Denmark.

25 "There were 6024 participants in the study. They

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1 were community—dwelling adults, previously uninfected
2 with SARS—CoV2, who did not wear masks in their daily
3 work. They were randomised into either (i) wearing a
4 surgical mask outside the home for [more than] 3 hours,
5 or (ii) not wearing a mask, i.e. control group. Testing
6 for SARS—CoV—2 was carried out at 1 month.”

7 So a very simple study, and a nice flow diagram that
8 is in there:

9 “At 1 month, 42 (1.8%) of the mask—wearing
10 participants tested positive for COVID—19, whereas 53
11 (2.1%) of the non—mask wearers tested positive. The
12 odds ratio was 0.82 (i.e. suggesting a benefit from mask
13 wearing) ...”

14 But once this was statistically analysed, the result
15 was not significant. The confidence interval ranged
16 from 0.54 to 1.23. So because the odds ratio crossed
17 the line of no effect, this had to be considered
18 an inclusive study — an inclusive finding, but
19 an interesting study.

20 Q. I think we can see that at page 109 of your report in
21 the appendix, “Analysis 1.1: Comparison 1: Randomised
22 trials : medical/surgical masks versus no masks”, and
23 I think we can see the Abaluck study is added into the
24 list of studies —

25 A. Yes.

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1 Q. — and is the first in that list. So we can see the
2 material that they were working from at that stage.

3 A. That’s right. The Bundgaard one that we were just
4 looking about, it’s in the second lot there, isn’t it?
5 The —

6 Q. I’m sorry?

7 A. So Bundgaard, the second block, the third small red
8 square, and you can see how the upper limit of the
9 confidence interval does cross the line of no effect.

10 Q. Yes.

11 Go on to the Abaluck study, please.

12 A. Yes. The Abaluck study, this was a large group of
13 investigators. Most of the investigators came from the
14 United States. There were a few Bangladeshi
15 investigators as well.

16 They carried out a cluster randomised controlled
17 trial carried out in rural Bangladesh in November 2020
18 to April 2021. Here, the unit of randomisation wasn’t
19 individuals; it was villages. So they enrolled 600
20 villages in the study, and the villages were randomised
21 into either wearing a mask and being shown a video and
22 a brochure on how to use masks, or else no intervention,
23 ie doing nothing. That was the control group. Then
24 SARS—CoV—2 infection was determined in two ways: partly
25 by self—reported symptoms that were consistent with

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1 COVID—19, and partly by laboratory testing.

2 “The study authors concluded that the intervention
3 [ie the wearing of a mask and also being shown a video
4 and given a brochure] reduced symptomatic seroprevalence
5 (i.e. a composite measure of positive symptoms and
6 positive antibody tests). The all—age odds ratio was
7 0.91 ...”

8 Meaning that it showed a reduction in COVID—19 with
9 an odds ratio of 0.9, so a modest reduction. The
10 confidence interval there just touches 1, so they’re
11 only just significant, because an odds ratio of 1 means
12 no effect.

13 Then I’ve got here the assessment, what the Cochrane
14 reviewers had to say about these two studies. Shall
15 I carry on?

16 Q. Yes.

17 A. So the Cochrane reviewers looked at these two studies,
18 which were obviously of great interest because they were
19 carried out in the pandemic. They interpreted the
20 studies in the context of pre—existing evidence, rather
21 than the standalone studies, which is the correct way of
22 doing it, and they applied the standards of scientific
23 rigour that are routinely used in Cochrane reviews.
24 They used the Cochrane risk of bias tool and, using
25 this, the Bundgaard study was found to be at low to

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1 moderate risk of bias, and the Abaluck study at high
2 risk of bias.

3 I included in this section of my report part of
4 that —

5 Q. Yes.

6 A. So the Abaluck is at the top, and you can see, my Lord,
7 the five red signs that indicate high risk of bias, and
8 then coming down towards the bottom, Bundgaard is pretty
9 good; it’s got three greens, two reds and one uncertain.

10 Carrying on:

11 “When the findings of the Bundgaard and Abaluck
12 studies were combined through meta—analysis with the
13 findings of other [previous, pre—existing]
14 medical/surgical mask [randomised controlled trials],
15 they contributed modestly to the overall finding that
16 mask wearing may be effective in preventing the
17 acquisition of SARS—CoV—2 infection — but statistically
18 [using statistical rigour], and because the confidence
19 intervals for the various pooled effect measures, shown
20 below as black diamonds ... in all cases include 1, the
21 results are not significant.”

22 And then I show the forest plot which compares
23 medical/surgical masks versus no masks using only
24 randomised controlled trials, so very high—quality
25 evidence, and the outcome here is viral illness —

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1 various sorts of viral illness , but including SARS–CoV–2
 2 illness .
 3 So Abaluck is the very top line . It shows they
 4 had — they seem to — they disaggregated the villages
 5 into a number of participants. So they had 111,000
 6 villagers who were wearing the masks and 155,000 who
 7 weren't wearing the masks, and you could see there
 8 seemed to be a modest effect. It's a large red square
 9 indicating a large number of participants. But even
 10 then the final — if you go down eight rows, the black
 11 diamond is the pooled estimate of effect, and that
 12 plainly does cross the line of no effect .
 13 So pooling all the evidence from all the studies
 14 shows with a degree of reliability that there's really
 15 no effect, statistically, from wearing a mask versus no
 16 mask in the community. That's what we're talking about.
 17 We're talking about community studies. For hospital
 18 studies and clinic studies, there's no dispute about it;
 19 they're good. But in the community, the benefit hasn't
 20 been shown.
 21 Q. Now, the reference to Abaluck and Bundgaard are
 22 significant, obviously, for inclusion within the 2023
 23 Jefferson Cochrane review —
 24 A. Yes, that's right .
 25 Q. — in that they are randomised trials taken during the

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1 COVID pandemic.
 2 A. Correct.
 3 Q. You said on a number of occasions that the Cochrane
 4 review process is a dynamic process.
 5 A. Yes.
 6 Q. Would one expect there to be further such trials
 7 emerging, either at present or have already emerged, and
 8 in the near future?
 9 A. Yes. I am pretty sure other studies are emerging. They
 10 may even refer to some. Sometimes Cochrane reviews say,
 11 "We are aware of other studies going on but we haven't
 12 got the findings yet, but we will report about them
 13 later on".
 14 Q. So, effectively, what one has, based on Abaluck and
 15 Bundgaard, and indeed the other, is a conclusion drawn
 16 by the Cochrane reviewers which is static as at 2023.
 17 A. Yes.
 18 Q. As at this year.
 19 A. That's right. Yes, indeed. Indeed.
 20 In fact, it's not as at this year, really, Mr Gale.
 21 It's probably whenever the last day they did a search.
 22 Q. Yes. Yes.
 23 A. It's July 2022. But at that point — that's the point
 24 at which they stopped searching and then they present
 25 their conclusions.

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1 Q. Yes, point taken. Thank you.
 2 Could we go back to your report, please, doctor, and
 3 go back to 3.1. You've listed there — this is at
 4 page 56.
 5 A. Thank you.
 6 Q. You head it as, "Physical measures taken in Scotland
 7 against COVID–19".
 8 A. Yes.
 9 Q. You have utilised the list that I think we've already
 10 looked at from Jefferson.
 11 A. Yes.
 12 Q. Perhaps you would read on from the bottom of page 56,
 13 beginning, "When the COVID–19 pandemic was declared".
 14 A. "When the COVID–19 pandemic was declared, in March 2020,
 15 the response of most governments around the world was to
 16 safeguard their citizens by simultaneously advocating
 17 multiple protective physical measures (sometimes
 18 referred to as a 'layered' approach to population
 19 protection) that had been deployed in earlier epidemics
 20 of acute respiratory illness. This section [of my
 21 report] describes how in Scotland, as in most
 22 count[r]ies, a wide range of physical measures against
 23 COVID–19 was either recommended or else mandated, from
 24 early 2020 onwards. Some of the measures were
 25 undoubtedly effective. Others were harmful."

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1 In fact, they probably all were harmful to some
 2 degree, because even having to buy a mask, you know,
 3 harms you. I think they cost a pound. But the harms
 4 were obviously more intense with some of the
 5 interventions .
 6 Q. Yes.
 7 A. So I give a little comment there.
 8 Q. So your comment, please.
 9 A. My comment is:
 10 "As the pandemic struck, in early 2020, SARS–CoV–2
 11 was treated as an acute respiratory virus. At that
 12 time, the best evidence for the effectiveness or
 13 otherwise of physical measures to prevent the spread of
 14 respiratory viruses was from a decade–old Cochrane
 15 review, Jefferson 2011 [we called it Jefferson 1]. This
 16 review was updated as the pandemic progressed, and was
 17 reissued in revised form towards the end of the
 18 pandemic, Jefferson 2023."
 19 Q. Now, what you then go on to do, doctor, in 3.1.1 is list
 20 the physical measures advised or mandated in the period
 21 from March to July 2020.
 22 A. Yes.
 23 Q. I think, for the sake of brevity, we will take those all
 24 as read. I think everybody of our generation will
 25 remember the event of 23 March 2020, which is on

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1 page 55, when the then Prime Minister said, "You must
2 stay at home. I give you this simple message", I think
3 is how he prefaced it.

4 You make a comment about that towards the bottom of
5 page 58.

6 A. Yes.

7 Q. Perhaps you would just read that and perhaps expand on
8 it, please.

9 A. Yes. This, of course, is my own comment as
10 a professional public health physician:

11 "See the first 'Scientific knowledge' box, above.
12 During March to July 2020 there was limited scientific
13 evidence and in some cases no scientific evidence (e.g.
14 as regards lockdowns) to support the physical measures
15 that were mandated in Scotland against COVID-19. Such
16 evidence as there was (e.g. for mask wearing) mostly
17 came from hospital settings, rather than community
18 settings — and arguably was not applicable to the
19 general, non-hospital population."

20 Q. You say "arguably"; can you explain the basis of that
21 surmise?

22 A. Of course, yes. Well, perhaps some policymakers might
23 have extracted one randomised controlled trial that
24 showed quite a strong effect in a hospital and thought:
25 well, our people are disciplined or can be trained to be

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1 disciplined and can be trained or educated to use masks
2 properly, so we will apply that to the general
3 population.

4 The Cochrane review authors were quite insistent
5 that hospital environments are not the same as domestic
6 environments, and hospital staff could be educated and,
7 to some extent, monitored in the correct use and the
8 consistent use of these inconvenient and uncomfortable
9 measures.

10 Q. I suppose also it could be considered that there would
11 be an ongoing education process in relation to the
12 general population.

13 A. Yes.

14 Q. Particularly with the seriousness of the situation in
15 which we were in.

16 A. Yes. Yes, that's true. They talk about the need to
17 emphasise the importance of it and the gravity of the
18 threat that was being faced. Yes.

19 Q. Thank you.

20 You then go on, in 3.1.2, to list the physical
21 measures advised or mandated in the period between
22 August and December 2020.

23 A. Mm—hm.

24 Q. Again, I think we can just take that as read.

25 Effectively, your comment at the bottom of page 59 is

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1 really the same.

2 A. It's the same, yes.

3 Q. Then you talk about, in 3.1.3, the temporary easing of
4 physical measures in the run-up to Christmas, and
5 I think we all remember that there was a great deal of
6 pressure on the policymakers and the politicians —

7 A. Yes.

8 Q. — to allow us to have some form of Christmas —

9 A. Yes.

10 Q. — in 2020.

11 A. Yes.

12 Q. I think you've indicated those measures that were put in
13 place by the Scottish Government.

14 Then at page 60 you comment again, which again is
15 a comment in relatively similar terms to what you've
16 already said.

17 A. Yes.

18 Q. Perhaps you would just read it out.

19 A. Again, my professional comment:

20 "The easing of the centrally-mandated COVID-19
21 restrictions over the 2020 Christmas period differed, in
22 different parts of the UK [the different nations]. It
23 is not clear to what extent, if at all, the easing of
24 the restrictions was based on a better understanding of
25 the pathogenicity and transmission characteristics of

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1 SARS-CoV-2."

2 Q. Moving on, the next period that you're looking at is the
3 period in early 2021, and you list those up to page 61,
4 and obviously this is bearing in mind the terms of the
5 Inquiry's remit, taking it into the date on 22 June when
6 the then First Minister, Nicola Sturgeon, announced:

7 "... a new indicative date for the whole of Scotland
8 to move to level 0 on 19 July 2021, provided all
9 necessary vaccination and harm reduction measures [were]
10 met."

11 Again, can we just have your comment on that.

12 A. So my comment:

13 "Physical measures intended to restrict the spread
14 of SARS-CoV-2 remained in place in Scotland throughout
15 2021, and some were still in place in 2022."

16 There are more milestones, more bullet points, and
17 they are at the appendix to this report. All of those
18 milestones I took from the official timeline that's on
19 the Inquiry website, which is extremely helpful.

20 Q. Right.

21 We move on now to part 4 of your report dealing with
22 vaccines, and I think we need to have in mind on this
23 the material that you've already provided us with in
24 section 2 of your report on vaccines.

25 A. Yes.

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1 Q. Give me a moment.
 2 (Pause)
 3 My Lord, bear with me for a moment.
 4 (Pause)
 5 Thank you, my Lord, apologies.
 6 Right, Dr Croft, we are at page 62 of your report.
 7 A. Yes.
 8 Q. Again, there is a block section in which you note the
 9 position post-pandemic, and it's headed, "What are the
 10 benefits and risks of vaccine for preventing COVID-19?",
 11 and there are certain key messages.
 12 A. Yes.
 13 Q. I would be grateful if you would just read through that,
 14 please.
 15 A. Yes. These are taken from the Cochrane review by Graña
 16 and colleagues, 2022:
 17 "Key messages
 18 " ■ Most vaccines reduce, or probably reduce, the
 19 number of people who get COVID-19 disease and severe
 20 COVID-19 disease.
 21 " ■ There is insufficient evidence to determine
 22 whether there was a difference between the vaccine and
 23 placebo in terms of death because the numbers of deaths
 24 were low in the trials .
 25 " ■ Many vaccines likely increase number of people

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1 experiencing events such as fever or headache compared
 2 to placebo ([defined as] sham vaccine that contains no
 3 medicine but looks identical to the vaccine being
 4 tested). This is expected because these events are
 5 mainly due to the body's response to the vaccine; they
 6 are usually mild and short-term.
 7 " ■ Many vaccines have little or no difference in the
 8 incidence of serious adverse events compared to placebo.
 9 " ■ Most trials assessed vaccine efficacy over a
 10 short time, and did not evaluate efficacy to the COVID
 11 variants of concern."
 12 Q. I think we can find that material at the Graña Cochrane
 13 paper, which is paper number 7 and is at pages 50 and
 14 following.
 15 I think if we go to page 53 within the bundle, can
 16 we just look at some of the accompanying text. Going to
 17 page 53, I think we can see the objective of the
 18 research at the bottom — well, let's start logically
 19 with the background:
 20 "Background
 21 "Different forms of vaccines have been developed to
 22 prevent the SARS-CoV-2 virus and subsequent COVID-19
 23 disease. Several are in widespread use globally."
 24 And then the objective was:
 25 "To assess the efficacy and safety of COVID-19

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1 vaccines (as a full primary vaccination series or
 2 a booster dose) against SARS-CoV-2."
 3 So that's the objective.
 4 We then have the research materials and, I suppose,
 5 methodology.
 6 If one then goes on to the main results on page 54,
 7 I think we can see that the authors:
 8 " ... included and analyzed 41 RCTs ..."
 9 A. Yes.
 10 Q. "... assessing 12 different vaccines, including
 11 homologous and heterologous vaccine schedules and the
 12 effect of booster doses. Thirty-two RCTs were
 13 multicentre and five were multinational. The sample
 14 sizes ... were 60 to 44,325 participants. Participants
 15 were aged: 18 years or older in 36 RCTs; 12 years or
 16 older in one RCT; 12 to 17 years in two RCTs; and three
 17 to 17 years in two RCTs. Twenty-nine RCTs provided
 18 results for individuals aged over 60 years, and three
 19 RCTs included immunocompromized patients. No trials
 20 included pregnant women. Sixteen RCTs had two-month
 21 follow-up or less, 20 RCTs had two to six months, and
 22 five RCTs had greater than six to 12 months or less.
 23 Eighteen reports were based on preplanned interim
 24 analyses."
 25 There is then an indication the overall risk of bias

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1 was low in eight RCTs, while 33 had concerns for at
 2 least one outcome.
 3 We then see that, in the main results, the authors
 4 divide this up into confirmed symptomatic COVID-19,
 5 severe to critical COVID-19, and serious adverse
 6 effects. We can obviously read the data if we wish in
 7 respect of each of those trials.
 8 But then we have the authors' conclusions at the top
 9 of page 55, and I wonder if you would just read that,
 10 please.
 11 A. Yes:
 12 "Authors' conclusions
 13 "Compared to placebo, most vaccines reduce, or
 14 likely reduce, the proportion of participants with
 15 confirmed symptomatic COVID-19, and for some, there is
 16 high-certainty evidence that they reduce severe or
 17 critical disease. There is probably little or no
 18 difference between most vaccines and placebo for serious
 19 adverse events. Over 300 registered [randomised
 20 controlled trials] are evaluating the efficacy of
 21 COVID-19 vaccines, and this review is updated regularly
 22 on the COVID-NMA platform ..."
 23 Q. Then I think, significantly, the "Implications for
 24 practice". Would you read that?
 25 A. Yes:

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1 "Implications for practice
 2 "Due to the trial exclusions, these results cannot
 3 be generalized to pregnant women, individuals with
 4 a history of SARS-CoV-2 infection, or immunocompromised
 5 people. Most trials had a short follow-up and were
 6 conducted before the emergence of variants of concern."
 7 Q. To a certain extent, implications for practice there is
 8 excluding certain matters. What would you take from the
 9 authors' conclusions and your review of what is
 10 contained in the Graña Cochrane review? What would you
 11 take as the message for the implication for the
 12 practitioner?
 13 A. What I take is the key messages that I extracted and put
 14 my report which follow in the plain language summary.
 15 Q. Yes.
 16 A. So I could go straight to them or read them from here.
 17 Q. Yes.
 18 A. So the first message:
 19 "– Most vaccines reduce, or probably reduce, the
 20 number of people who get COVID-19 disease and severe
 21 COVID-19 disease.
 22 "– Many vaccines likely increase number of people
 23 experiencing events such as fever or headache compared
 24 to placebo (sham vaccine ...). This is expected because
 25 these events are mainly due to the body's response to

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1 the vaccine; they are usually mild and short-term."
 2 Q. Yes.
 3 A. "– Many vaccines have little or no difference in the
 4 incidence of serious adverse events compared to
 5 placebo."
 6 However, I think there ought really to be
 7 a qualifying phrase for that little bullet point, as
 8 there is to the next one, so if I read the next one.
 9 Q. Yes.
 10 A. It says:
 11 "– There is insufficient evidence to determine
 12 whether there was a difference between the vaccine and
 13 placebo in terms of death because the numbers of deaths
 14 were low in the trials."
 15 So in the same way, I think really they should have
 16 qualified the previous phrase by saying the numbers of
 17 severe adverse events were low, and so therefore we
 18 can't make a firm conclusion about that.
 19 Q. Other than to note that the numbers were low.
 20 A. The numbers were low, yes. So the numbers were low, so
 21 therefore the confidence intervals were wide.
 22 Yes, and then finally:
 23 "– Most trials assessed vaccine efficacy over
 24 a short time, and did not evaluate efficacy to the COVID
 25 variants of concern."

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1 That's really because many of the trials started
 2 before the variants of concern had even emerged so,
 3 therefore, they're not to be blamed for that. It was
 4 just the way that history worked out.
 5 LORD BRAILSFORD: Can I take you back to page 54, under the
 6 heading "Main results".
 7 A. Yes.
 8 LORD BRAILSFORD: You told us, when you were talking about
 9 protective measures, that Cochrane reviews did report on
 10 the trials underway about which there was no result
 11 available at the time of authorship.
 12 A. Yes.
 13 LORD BRAILSFORD: I see in this particular Cochrane report
 14 there is an entry under "Main results":
 15 "We identified 343 registered RCTs with results not
 16 yet available."
 17 Is that what it's talking about?
 18 A. It is, but if you look at the number of trials that they
 19 looked at, they initially identified 600, of which they
 20 narrowed it down to 41. So that's not to say that we're
 21 suddenly going to be confronted with 343 eligible trials
 22 in a year's time. Those numbers will be whittled down
 23 because many of them will actually be looking at
 24 something else. But they have potentially randomised
 25 controlled trials that will provide results in the

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1 future. So there will be —
 2 LORD BRAILSFORD: You guessed where I was going.
 3 A. Yes. There will be an increase in the evidence. The
 4 evidence base will be enlarged.
 5 LORD BRAILSFORD: But not by 343 —
 6 A. No, maybe by four or five or ten. And, of course, not
 7 all those randomised controlled trials are really
 8 relevant to the people of Scotland because they are
 9 looking at vaccines that were used elsewhere. They look
 10 at the whole range of vaccines.
 11 LORD BRAILSFORD: Notwithstanding those caveats, is it
 12 likely — you may not know the answer to this — because
 13 of the likely emergence of a number of randomised
 14 controlled trials, that there will be an update to this
 15 particular Cochrane review at some stage?
 16 A. Yes, I'm sure there will be a hard copy update, and they
 17 are the important ones, because they are the ones that
 18 are peer reviewed before they are launched. So there
 19 will be, I would guess in maybe two years' time.
 20 LORD BRAILSFORD: Two years' time. Thank you.
 21 Sorry, Mr Gale.
 22 MR GALE: No, not at all, my Lord, thank you.
 23 Let's perhaps indulge in a little speculation,
 24 Dr Croft.
 25 The key messages, the first of which is that:

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1 "Most vaccines reduce, or probably reduce, the
2 number of people who get COVID-19 disease and severe
3 COVID-19 disease."

4 Further research — and my Lord has just indicated
5 the extent of that that is noted as ongoing,
6 and I appreciate you saying that that will be filtered
7 for those that actually input into a subsequent Cochrane
8 review, as edited.

9 A. Yes.

10 Q. Would one anticipate that it may be possible that that
11 terminology might be altered so that it could be
12 increased in its strength, perhaps by removing the word
13 "probably"?

14 A. Yes, that could be the case, yes. Yes, if enough trials
15 come along which are measuring that particular outcome
16 and are judged to be suitable to include in the
17 meta-analysis. That's part of the catch-22. Not all of
18 them are suitable. What we might be looking at might be
19 the mashed-up vaccines, for example — not wishing to
20 denigrate them — so they might not be suitable to
21 compare to genetic instruction vaccines.

22 But, in general, when looking at an estimate of
23 effect, it doesn't tend to vary — it doesn't jump
24 around. It tends to sort of move down the same
25 trajectory, but with the confidence intervals getting

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1 narrower and narrower and narrower as more evidence
2 comes in. That's generally what Cochrane reviews find.

3 Q. Right.

4 Could you go back to page 62 in your report —

5 A. Yes.

6 Q. — and, "Vaccines procured against COVID-19".

7 I think, again, this is somewhat repetitive of what
8 you've already said and what we have looked at.

9 A. Yes.

10 Q. There are a number of individual — I think they are
11 called pivotal studies in relation to vaccines, and
12 I think we can see those referred to at page 63.

13 A. Yes.

14 Q. My Lord, for my Lord and for the benefit of others, the
15 Folegatti report is paper number 4 —

16 A. Yes.

17 Q. — and is at pages 27 to 34 of the bundle; Sadoff is
18 paper number 17 and is at pages 917 to 930 of the
19 bundle; Polack is number 13 and is at pages 864 to 876;
20 Baden is number 2 and is at pages 5 to 18; and I'll also
21 give the reference to Ramasamy, which was a follow-up
22 report on the AstraZeneca vaccine, which is number 15,
23 and that's at pages 887 to 901.

24 Now, again, it may be useful, doctor, without
25 actually going through what you actually say in your

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1 text, to go to page 64 and your comment, because you do
2 make a comment on the AstraZeneca study.

3 A. Yes.

4 Q. Perhaps you would just read that.

5 A. This is a comment on — it's really on Folegatti, the
6 pivotal study, and my comment is:

7 "Strength of the AstraZeneca study [Folegatti] are
8 that it is a randomised controlled trial. It appears
9 not to be sponsored by industry."

10 It seems to be an academic study emerging from
11 Oxford University.

12 "Limitations include (i) the relatively small number
13 of participants ([1,077 in total] 543 people in the
14 vaccine arm); (ii) the use of a different vaccine as the
15 control, rather than saline (this would tend to result
16 in an unrealistically favourable assessment of the study
17 vaccine's true tolerability); (iii) the short period of
18 follow-up ([28 days or] 4 weeks); and (iv) the lack of a
19 study flow chart in the published report (even though
20 this is mandatory [nowadays when you report an RCT])."

21 Q. Yes. I think that's a reference to a document we looked
22 at yesterday, Altman.

23 A. It is, yes, and that makes it very difficult to
24 understand what they were doing.

25 Q. The Janssen vaccine which you refer to at 4.1.2, I think

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1 probably again, with respect, we can ignore, because
2 I think as you've already indicated, it was not
3 a vaccine that was made available in the UK or in
4 Scotland.

5 A. No, that's right.

6 Q. One goes on, if I may, then, to the Moderna vaccine at
7 4.1.3. Again, we can read what is said there. The
8 pivotal study was the Baden paper which I have given
9 reference to. I think we can see there were 30,000—plus
10 participants, and you make a comment on that at the top
11 of page 66.

12 A. Yes.

13 Q. Again, if you would read that, please.

14 A. Yes. So:

15 "Strengths of the Moderna study are that it is
16 a randomised controlled trial [that's the gold standard
17 of evidence]. It uses a true placebo [the placebo was
18 saline]. The trial incorporates a [nice] study flow
19 chart [so very easy to see what was done]. Limitations
20 include (i) it is an industry-sponsored study ..."

21 It's sponsored by Janssen, which is a Dutch study.
22 So inevitably, with industry studies, there will
23 probably be some reporting bias:

24 "... (ii) the differing follow-up periods for
25 different participants groups is confusing."

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1 It's confusing to me, anyway.
 2 Q. I think you said that it was sponsored by Janssen.
 3 A. Yes.
 4 Q. I think this is the Moderna —
 5 A. I'm terribly sorry. It's sponsored by Moderna.
 6 Q. Top of 66.
 7 A. I beg your pardon, yes. Moderna, which is an American
 8 company. I beg your pardon, yes. Janssen was the Dutch
 9 company. Moderna is an American company which, as we
 10 were saying yesterday, got a lot of money from the
 11 US Federal Government for this development of this
 12 vaccine.
 13 Q. Yes.
 14 You then go on at 4.1.4 to look at the Pfizer
 15 vaccine. The pivotal study is the Polack study, which
 16 we've given reference to.
 17 A. Yes.
 18 Q. There's a follow-up study to that, which is Thomas,
 19 which I'll give the reference to: it's paper number 19,
 20 at pages 937 to 949.
 21 Could you just, again, go to the comment that you
 22 make in relation to the Pfizer vaccine.
 23 A. Yes. So:
 24 "Strengths of the Pfizer study are that it is
 25 a randomised controlled trial. It uses a true placebo

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1 [which is described as saline, which is good]. It
 2 incorporates a study flow chart."
 3 Nice study flow chart on the fourth page of the
 4 study, page 867.
 5 Q. That makes it Altman-compliant, if I can put it that
 6 way.
 7 A. It makes it Altman-complaint, yes, of course. You can
 8 see exactly what they are doing. But interestingly, in
 9 the next study, it's pretty much exactly the same flow
 10 chart, which isn't what I would have expected, but there
 11 we are.
 12 "Limitations include (i) it is an industry-sponsored
 13 study ..."
 14 Sponsored by Pfizer, a very wealthy company that
 15 invented Viagra. That's why they're so wealthy, and
 16 that's why they were able to fund this study entirely
 17 without outside interference:
 18 "... (and hence its reporting is liable to
 19 commercial bias); (ii) short period of follow-up for the
 20 majority of participants."
 21 Oh, shall I carry on with the further comment?
 22 Q. Yes, you make a further comment.
 23 A. Further comment. In September 2021, there was
 24 a follow-up study by Thomas, and this was meant to sort
 25 of carry the story forward, because as far as we can

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1 tell, all of these four products were given authority to
 2 supply, approval to supply — it's not the same as
 3 licensing in the UK — on the basis that the
 4 participants would be studied after two years. So they
 5 are meant to be two-year studies. But what happened was
 6 that the study participants started to drop out in
 7 massive numbers. So what I put here:
 8 "There were multiple drop-outs from the original
 9 'pivotal' Pfizer study; the study in effect had shrunk
 10 in size. The follow-up study found that vaccine
 11 efficacy declined at 'an average ... of 6% every
 12 2 months' [which hadn't been anticipated in the original
 13 study]. The lack of transparency in the data presented
 14 by Pfizer in their follow-up study was strongly
 15 criticised in an online editorial in the British Medical
 16 Journal ..."
 17 Which I cite as Doshi —
 18 Q. Yes, you've given us that reference and, again, for
 19 my Lord and those following, that's document number 3 at
 20 pages 19 to 21 —
 21 A. Yes.
 22 Q. — of the bundle.
 23 Perhaps, just to understand what the criticism was,
 24 can you just tell us what Mr Doshi was saying?
 25 A. I'll refer to his papers.

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1 Q. Yes.
 2 A. It's quite hard to follow some of his arguments, but he
 3 seemed to be making a number of, I thought, rather valid
 4 points, which is why I included them, my Lord, in the
 5 studies, so ...
 6 Q. Yes, I think it's at —
 7 A. Yes.
 8 Q. — pages 19 to 21?
 9 A. So what he seems to be commenting on, my Lord, is
 10 a pre-print of Thomas 2021, when we would have expected
 11 the study flow diagram to continue for another
 12 six months, but in fact his study flow diagram is the
 13 same as the previous one, which is a bit odd.
 14 So really what he's saying is that really all it's
 15 doing is — all the pre-print is doing, according to
 16 Doshi, is measuring — in fact, again, telling us what
 17 vaccine efficacy was at two months. Although it
 18 purports to be a study taking the story forward, because
 19 of the way they have presented the data, it doesn't
 20 actually add any more information.
 21 Dr Doshi is saying waning immunity is a big problem
 22 with influenza vaccines.
 23 "If vaccine efficacy wanes over time, the crucial
 24 question becomes what level of effectiveness will the
 25 vaccine provide when a person is actually exposed to the

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1 virus? Unlike covid vaccines, influenza vaccine
 2 performance has always been judged over a full season,
 3 not a couple months.”
 4 Then Mr Doshi talks about the Israeli experience
 5 of —
 6 Q. Yes, I was just going to draw your attention to that.
 7 A. Okay.
 8 Q. Midway down page 19, just below halfway down page 19,
 9 Dr Doshi makes reference to the Israel experience, which
 10 I think we did touch on earlier this morning.
 11 A. Yes, and then Dr Doshi says — we have to take his word
 12 for it — the FDA, the Food and Drug Administration —
 13 I believe he’s based in the United States.
 14 Q. Right.
 15 A. He says they expect an approvable vaccine to have at
 16 least 50% efficacy. So he says here that the Israelis
 17 have found that the Pfizer vaccine efficacy had fallen
 18 to 39% when the new variant came along, the Delta
 19 variant, and so he considered this to be pretty poor
 20 performance.
 21 Then he says: well, okay, what’s happened? We’ve
 22 now got this booster. He puts “booster” in inverted
 23 commas. I agree with him. I think “booster” is
 24 a misnomer. It’s actually an odd—on to try and get the
 25 vaccine up into the stratosphere. The booster is what

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1 you use when you’ve already achieved immunity, just to
 2 keep the immunity going.
 3 Then he talks about US plans for all fully
 4 vaccinated adults to have a booster. Then he says more
 5 about — he says:
 6 “Waning efficacy has the potential to be far more
 7 than a minor inconvenience ... the bottom line is that
 8 vaccines need to be effective [especially when new
 9 variants are circulating].
 10 “... it is unclear whether the 2—dose series ...”
 11 Yes, so he goes back to the two—dose series, the
 12 original primary course, which was presented as the
 13 solution to COVID—19, and he says: would this even meet
 14 the FDA’s approval standard of six or nine months for
 15 a vaccine?
 16 So I think what he’s saying is, in a way, we were
 17 sold a pup, in a way. They were sold a product that
 18 wouldn’t have met the ordinary FDA regulations under
 19 normal circumstances.
 20 Q. So I think essentially what Dr Doshi is doing is it’s
 21 an online editorial —
 22 A. Yes.
 23 Q. — and, like many online editorials, the writer tends to
 24 like to pose questions —
 25 A. Yes.

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1 Q. — without necessarily providing you with the answer.
 2 A. Yes. We looked yesterday at Fiona Godlee’s editorial
 3 after the swine flu vaccine. She was pretty angry as
 4 well, and he’s obviously quite angry.
 5 Q. Yes, okay.
 6 Can we go to 4.1.5 at page 67 —
 7 A. Yes.
 8 Q. — which is the COVID—19 vaccination timeline.
 9 Just on the question of timeline, I think you
 10 previously indicated that you’d had regard to the
 11 Inquiry timeline. I think the timeline you’ve been
 12 given is what’s called the SPICe timeline.
 13 A. Yes, it is, yes.
 14 Q. That’s a timeline that was provided by the Scottish
 15 Parliament.
 16 A. Right. Thank you, Mr Gale.
 17 Q. So you begin at 4.1.5 by saying that:
 18 “On 8 December 2020 the first vaccinations against
 19 COVID—19 were given in Scotland to those who would be
 20 carrying out the subsequent population—wide vaccination
 21 programme; this included both medical and non—medical
 22 personnel.”
 23 Then the milestone of care home residents and staff
 24 were vaccinated from 14 December 2020 onwards, and
 25 high—risk clinical groups were offered vaccinations in

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1 early 2021.
 2 A. Yes.
 3 Q. Then you list the various milestones from then right
 4 through to the end of 2021.
 5 A. Yes.
 6 Q. I think we can see, as at December 2021, you make
 7 reference to it being the one—year anniversary of the
 8 first COVID vaccination in Scotland.
 9 A. Yes.
 10 Q. Since then, 4.3 million first doses have been
 11 administered, 3.9 million second doses and 1.9 million
 12 boosters and third doses have been administered from
 13 around 1,200 locations.
 14 A. Mm—hm.
 15 Q. So you then go on to make a comment, and I wonder if you
 16 would just read that out, please.
 17 A. Yes. So:
 18 “Comment. The COVID—19 vaccination programme in
 19 Scotland continued throughout 2022 and is still in place
 20 in 2023. In autumn 2022, MHRA approved bivalent
 21 vaccines from Moderna and Pfizer.”
 22 So those are vaccines that are designed to provide
 23 protection against the original strain of the virus and
 24 also the variant strain, one variant strain:
 25 “The vaccination milestones are summarised in

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1 Appendix 9 to [my] report.”
 2 Q. I think it's actually appendix 10 —
 3 A. Oh, is it?
 4 Q. — but it makes little difference. We can easily
 5 find it.
 6 Right. You then go on at 4.1.6 to refer to vaccine
 7 adverse events reported in the UK.
 8 A. Yes.
 9 Q. I think we can probably work it out, but what do you
 10 regard, or what do you and other public health
 11 practitioners regard, as a vaccine adverse event?
 12 A. Yes. There's a fine distinction between an adverse
 13 event and an adverse effect. An adverse effect is
 14 considered to be indisputably linked to the drug or
 15 vaccine being considered.
 16 An adverse event will be something that's reported,
 17 usually in the early days, but often later on, in the
 18 history of a drug or a vaccine. An adverse event is
 19 simply practitioners — healthcare practitioners,
 20 doctors or nurses — and nowadays members of the public
 21 can report any untoward physical or psychological or
 22 mental problem that they consider is linked to the prior
 23 taking of the drug or use of the vaccine.
 24 Q. And that's, in part, the Yellow Card referral.
 25 A. It's done through the Yellow Card system, yes. For

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1 doctors there was always, at the back of the British
 2 National Formulary, a Yellow Card pre-printed, and if
 3 a patient to whom you prescribed a drug — and it would
 4 usually be a kind of novel drug — had a funny reaction
 5 to it, and you thought, "This is odd, I need to report
 6 that to the precursor of the MHRA", you would fill out
 7 the card and send it off. There was always a lot of
 8 under-reporting, but responsible doctors would try and
 9 report adverse events, even though they may not even
 10 become aware of them. Some patients would die — you
 11 might give them a drug, they might die, and you might
 12 just never really link it to your having given them
 13 a particularly powerful drug. That's part of the
 14 difficulty of spontaneous reporting systems.
 15 Q. Go to the bottom of page 69 of your report and what is
 16 said at 4.1.6. Perhaps you would just read from the
 17 beginning of that. Some of it, I think, is material you
 18 have now already covered or alluded to, but perhaps you
 19 could just read what you say.
 20 A. So "On 1 December" onwards:
 21 "On 1 December 2022 the UK Medicines and Healthcare
 22 Products Regulatory Agency (MHRA) published a summary of
 23 the spontaneously-reported adverse events (Yellow Card
 24 reporting) that had been received by the agency between
 25 9 December 2020 to 23 November 2022 ...

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1 "The December 2022 MHRA publication ..."
 2 I've got this here. This is what it looked like,
 3 my Lord. So it:
 4 "... lists 2,362 Yellow Card reports with a fatal
 5 outcome ..."
 6 In other words, where the person had received a
 7 vaccine and they had died, and somebody, maybe a doctor
 8 or a relative, reported it as linked to the vaccine.
 9 Of these, 1,044, or 47%, were in females, and 1,189,
 10 in other words 53%, were in males. Of these reported
 11 fatalities, 809, 34%, occurred in people who were aged
 12 less than 69 years. So relatively young people.
 13 And then:
 14 "During the 2-year period of assessment [by the
 15 MHRA], vaccine-associated adverse events (other than
 16 fatal events [so non-fatal events]) were reported ... as
 17 follows ..."
 18 With AstraZeneca COVID-19 vaccine, a huge number of
 19 reports: 246,866; with Moderna, there was fewer: 47,045;
 20 and with Pfizer, there was somewhere in between:
 21 177,900. So a very large number of reports.
 22 What I couldn't get from the report was how many
 23 doses had been given, what's the proportion of the
 24 number of doses given. But just taking those as ball
 25 numbers, very high numbers, and far more than you would

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1 expect to see with vaccines.
 2 So my comment here:
 3 "A reported adverse event from a drug or vaccine
 4 (e.g. sudden death) does not prove causality — although
 5 adverse events that are reported frequently and
 6 consistently often do point to a true causal
 7 association. The risk of vaccination need to be weighed
 8 against the risks of severe COVID-19. Historically, the
 9 UK's Yellow Card system for reporting adverse events has
 10 resulted in under- rather than over-reporting; the
 11 MHRA's December 2022 report on the potential harms of
 12 COVID-19 vaccines may therefore have underestimated the
 13 scale of the vaccine-associated harms, rather than
 14 overestimating it."
 15 Shall I carry on.
 16 Q. Please carry on, yes.
 17 A. "Aside from fatal events, analysis by the MHRA of two
 18 consecutive years ..."
 19 So basically they were looking at 2021 and 2022 up
 20 until November, and then basically they stopped
 21 analysing:
 22 "... suggests [strongly suggests, I'd say] that
 23 COVID-19 vaccination may cause an increased risk of the
 24 following serious adverse events."
 25 And then I list —

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1 Q. You list them.
 2 A. And these are ones that they themselves have highlighted
 3 as coming up a lot. So:
 4 " ■ Anaphylaxis (i.e. immediate-onset,
 5 life-threatening allergic reaction) ... "
 6 They received 990 reports, mostly with the
 7 AstraZeneca product.
 8 " ■ Bells' palsy (i.e. unilateral facial nerve
 9 paralysis) ... "
 10 So half of your face becomes paralysed. They seem
 11 to imply that they get a lot of reports of that, but the
 12 numbers weren't in the report; at least I couldn't find
 13 them. They say it's continuously reviewed. That is
 14 what they are saying. Although at the end of the report
 15 they tell us they're stopping routine reviewing, which
 16 is a bit odd.
 17 " ■ Guillain-Barré syndrome (i.e. ... paralysis of
 18 the lower limbs) ... "
 19 It's occasionally seen with other vaccines, but with
 20 these particular vaccines seems to have been seen quite
 21 often. Again, the actual numbers were not disclosed in
 22 this report; at least I couldn't find them.
 23 Immune thrombocytopenia. That sounds pretty grave,
 24 because that means you've got very few platelets, so
 25 you're going to have severe problems with clotting. You

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1 won't be able to clot your blood if you cut yourself.
 2 Then major thromboembolic events. That means very
 3 serious life-threatening blood clots. There were 486
 4 reports to the MHRA of these, mostly with AstraZeneca,
 5 although, again, we don't know what proportion of the
 6 vaccines administered were AstraZeneca. But assuming
 7 they were one-third, they clearly have been strongly
 8 associated with AstraZeneca.
 9 Menstrual disorders. A huge number of reports to
 10 MHRA, 51,000, and the MHRA says they were "mostly
 11 transient [and we] will continue to review [this
 12 problem]".
 13 Myocarditis. That's the inflammation of the heart
 14 muscle. Again, very large number of reports to MHRA, in
 15 my view: 1,241 in total, and 15 of these were reported
 16 as having a fatal outcome. The MHRA comment is the
 17 "reports ... are being monitored closely".
 18 Pericarditis, which is inflammation of the fibrous
 19 sac surrounding heart. Again, a very large number of
 20 reports to MHRA which were associated with the vaccine,
 21 at least in the people reporting them. 954 of these.
 22 Finally, transverse myelitis, which is rather
 23 rare -- in fact, very rare -- inflammation of the spinal
 24 cord, going right across the spinal cord, would cause
 25 paralysis of the limbs, and there were 179 reports in

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1 total; again, most of these originating from vaccination
 2 with the AstraZeneca product. The comment from MHRA
 3 was --
 4 Q. Perhaps can we just -- I'm sorry, I think you're going
 5 on to the comment on transverse myelitis.
 6 A. Yes.
 7 Q. That, "the product information has been updated".
 8 A. Yes. Yes, indeed.
 9 MR GALE: Can we just stop there, doctor, because we have to
 10 be mindful of the burden on the stenographers, and
 11 I think we've passed our normal time. So perhaps we can
 12 just stop there and we will return to finish in a few
 13 minutes.
 14 LORD BRAILSFORD: Very good. Thank you.
 15 (3.11 pm)
 16 (A short break)
 17 (3.30 pm)
 18 MR GALE: Dr Croft, just another few hours.
 19 Can we go to page 71 of your report, please.
 20 A. 71.
 21 Q. You've got a comments section. I think that's as far as
 22 we had got --
 23 A. Yes.
 24 Q. -- in your read-through. Would you read the comment
 25 section in its entirety, please.

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1 A. Yes. Comment:
 2 "See the 'Scientific knowledge' box, on Page 62 of
 3 this report."
 4 And that was summarising the key messages from
 5 Graña ...
 6 (Interruption to the live stream)
 7 "... of COVID-19 vaccines states that it is unclear
 8 as to whether or not vaccination has made any difference
 9 to the numbers of deaths from COVID-19 [and there is a
 10 quote from the Cochrane review:] ('there is insufficient
 11 evidence to determine whether there was a difference
 12 between the vaccine and placebo in terms of death
 13 because the numbers of deaths were low in the trials');
 14 future updates of the review may resolve this important
 15 point. Minor adverse events (e.g. fever, headache)
 16 occur commonly with many of the currently-available
 17 COVID-19 vaccines. For many of the currently-available
 18 COVID-19 vaccines, serious adverse events (e.g. cardiac
 19 and neurological events, and sudden death) appear to be
 20 few, based on the reported RCTs. However this
 21 apparently low number may be due to (i) the very short
 22 follow-up period in many of the reported vaccine RCTs,
 23 (ii) the fact that the candidate vaccine was not
 24 compared against a true placebo, (iii) the number of
 25 participants in the reported RCTs was small, (iv) the

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1 RCT participants were optimally healthy at time of
 2 vaccination, or were otherwise unrepresentative of the
 3 majority of the UK population, or (v) a combination of
 4 any or all of the foregoing. In early 2023 MHRA
 5 announced that it would no longer be issuing special
 6 publications on the spontaneously-reported adverse
 7 events associated with COVID-19 vaccines."
 8 Although they do say -- so this is the last of its
 9 kind -- that they will report on the autumn booster for
 10 2023.
 11 "The reasons for this announcement are unclear.
 12 Around the same time, and for reasons that are also
 13 unclear, the December 2022 report [that's the two-year
 14 report, this one] ... was removed from the agency's
 15 website."
 16 At least I couldn't find it when I tried to find it
 17 on there. By good fortune, I downloaded it, I think,
 18 around about Christmas, when it came out, so I had
 19 a copy.
 20 Q. Finally, in relation to this, can we go to the MHRA
 21 document, please.
 22 A. Yes.
 23 Q. This is a summary of Yellow Card reporting published on
 24 1 December 2022, as you have just said.
 25 Can we go to page 819 within the second bundle of

1 documents, please.
 2 815 shows us the cover sheet:
 3 "Coronavirus Vaccines.
 4 "Summary of Yellow Card reporting.
 5 "Published 1 December ..."
 6 And the data included data from 9 December 2020 to
 7 23 November 2022.
 8 A. Yes.
 9 Q. Can we go to page 819 within that document.
 10 A. Yes.
 11 Q. I think we can see there this is the summary section.
 12 A. Mm-hm.
 13 Q. Reading the first four paragraphs I think is perhaps of
 14 particular interest, and I'll just read those to you:
 15 "Over the first 27 months of the pandemic over
 16 178,397 people across the UK have died within 28 days of
 17 a positive test for coronavirus ... Vaccination is the
 18 single most effective way to reduce deaths and severe
 19 illness from COVID-19. A national immunisation campaign
 20 has been underway since early December 2020."
 21 Now, again, as a public health consultant and
 22 epidemiologist, is that a general statement with which
 23 you would agree?
 24 A. Thank you, Mr Gale. If I could refer you back to Altman
 25 and his statement. So that is quite a powerful

1 statement. Doug Altman says -- that's in the bundle of
 2 documents, my Lord -- only randomised trials allow valid
 3 inferences of cause of effect. Therefore, I would
 4 expect that statement there -- "Vaccination is the
 5 single most effective way to reduce deaths and severe
 6 illness" -- to be supported by the evidence from
 7 randomised controlled trials. But that isn't what the
 8 randomised controlled trials show at the moment. It may
 9 do at some point in the future.
 10 Q. It may do at some stage in the future.
 11 A. Yes, they may do.
 12 Q. Right.
 13 Then the document goes on:
 14 "Three COVID-19 vaccines -- the ... Pfizer/BioNTech
 15 ... AstraZeneca and ... Moderna -- were used in the
 16 primary and booster vaccination campaigns up to the end
 17 of August 2022. All have been authorised for supply by
 18 the ... (MHRA) following a thorough review of safety,
 19 quality and efficacy information from clinical trials.
 20 In clinical trials, these vaccines showed very high
 21 levels of protection against symptomatic infections with
 22 COVID-19. Data are available on the impact of the
 23 vaccination campaign in reducing infections, illness and
 24 mortality in the UK."
 25 Then it goes on:

1 "The MHRA confirmed on 9 September 2021 that the
 2 COVID-19 vaccines made by Pfizer and AstraZeneca can be
 3 used as safe and effective booster doses. Following
 4 a review of the data for the COVID-19 Vaccine Moderna
 5 vaccine, the MHRA and Commission on Human Medicine ...
 6 experts also concluded that this vaccine can be used as
 7 a safe and effective booster dose. All vaccines and
 8 medicines have some side effects. These side effects
 9 need to be continuously balanced against the expected
 10 benefits in preventing illness."
 11 A. Yes.
 12 Q. If one turns over the page to 820, there's separate
 13 comments on each of the vaccines, the Pfizer-BioNTech,
 14 AstraZeneca and Moderna.
 15 A. Yes.
 16 Q. And then towards the bottom of that page, if one can see
 17 penultimate paragraph:
 18 "The MHRA continually monitors safety during
 19 widespread use of a vaccine. We have in place a
 20 proactive strategy to do this. We also work closely
 21 with our public health partners in reviewing the
 22 effectiveness and impact of the vaccines to ensure the
 23 benefits continue to outweigh any possible side
 24 effects."
 25 A. I would like to know who these public health partners

1 are because it's not really explained, but it's
 2 interesting —

3 Q. To a certain extent you take that on trust.

4 A. We have to take that that on trust, yes, because the
 5 MHRA isn't really resourced to conduct epidemiological
 6 analysis of the reports coming to it, not really. They
 7 count the reports. They are not like NICE, National
 8 Institute of — who do. They can assess the benefits
 9 and harms of new drugs. MHRA isn't really like that.
 10 They will depend on other people to do that for them.

11 Q. If one goes to page 821, in the first full paragraph
 12 I think we can see that as at the data date,
 13 23 November 2022, 17,965 Yellow Cards have been reported
 14 for the Pfizer, 246,866 have been reported for
 15 AstraZeneca and 47,045 for Moderna.

16 If one then goes to the bottom of that page, three
 17 paragraphs from the bottom:

18 "For all COVID-19 vaccines, the overwhelming
 19 majority of reports relate to injection-site reactions
 20 (sore arm for example) and generalised symptoms such as
 21 'flu-like' illness, headache, chills, fatigue
 22 (tiredness), nausea (feeling sick), fever, dizziness,
 23 weakness, aching muscles, and rapid heartbeat.
 24 Generally, these happen shortly after the vaccination
 25 and are not associated with more serious or lasting

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1 illness."

2 Again, to the bottom of that page, the last
 3 sentence:

4 "Overall, our advice remains that the benefits of
 5 the vaccines outweigh the risks in the majority of
 6 people. Further comments on use in specific populations
 7 and details on the specific safety topics can be found
 8 within Section titled Analysis of data."

9 Then we have a conclusion section there. Again, we
 10 have a very generalised statement, which is:

11 "Vaccines are the best way to protect people from
 12 COVID-19 and have already saved tens of thousands of
 13 lives. Everyone should continue to get their vaccination
 14 when invited to do so unless specifically advised
 15 otherwise.

16 "As with all vaccines and medicines, the safety of
 17 COVID-19 vaccines is being continuously monitored.

18 "The benefits of the vaccines in preventing COVID-19
 19 and serious complications associated with COVID-19 far
 20 outweigh any currently known side effects in the
 21 majority of patients."

22 And then there's a reference to further information.

23 So, again, can I just ask you on the first part of
 24 that concluding section, is that something with which,
 25 in general terms, you agree?

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1 A. Well, no, because again the first part — it may be
 2 correct, but it's not demonstrated by the Altman rule,
 3 which is that only randomised trials allow valid
 4 inferences of cause and effect, and the randomised
 5 trials don't show that the vaccines have that effect in
 6 saving lives, in preventing deaths.

7 Q. Would it be right in saying, doctor, that it doesn't
 8 satisfy your test and standard, but it might satisfy
 9 others?

10 A. Well, it isn't my personal test. It's the professional
 11 test that all epidemiologists would use before making
 12 those kind of categorical statements, yes.

13 Q. But other epidemiologists might have a more liberal
 14 approach, if I can put it that way, to adherence to the
 15 Altman rule, so you might find others who disagree with
 16 you.

17 A. Possibly, yes. I'll just say again what the Cochrane
 18 review says, and that's:

19 "There is insufficient evidence to determine whether
 20 there was a difference between the vaccine and placebo
 21 in terms of death."

22 That has to be the — a valid inference of cause and
 23 effect.

24 (Pause)

25 Q. I think we do have some data at pages 825 and 826.

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1 Perhaps if we just look at that.

2 Table 1 discloses those who have received a first
 3 dose of the vaccine for COVID-19 up until
 4 11 September 2022.

5 A. Yes.

6 Q. For Scotland, the figure is 4.5 million, second dose is
 7 4.285 million, and those who have received a third or
 8 booster, again in Scotland, is 3.5 million. I think
 9 these are figures you've given already —

10 A. Yes.

11 Q. — in the terms of your report.

12 A. Yes.

13 Yes, just to clarify what I've just said, my Lord.
 14 So it's correct that deaths started to decline, if you
 15 like, as the pandemic progressed, but there may be other
 16 reasons for that, including what we talked about
 17 earlier, which was the better treatment protocols that
 18 weren't contributing to the patient's morbidity. That's
 19 just the obvious reason. So just to say, "Well, the
 20 deaths were declining at the same time as the vaccines
 21 were being given to people" is not scientifically sound,
 22 in my professional opinion.

23 Q. Could I, just in conclusion, ask you to look at page 855
 24 within that document.

25 A. Yes.

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1 Q. I think these are tables 11 and 12 which show, first of
2 all, the number of UK reports with a fatal outcome --
3 A. Yes.
4 Q. -- received for COVID-19 vaccines by a patient up to and
5 including 23 November 2022.
6 A. Yes.
7 Q. So for all vaccines the total is 2,362.
8 A. Yes.
9 Q. But, again, causality is not being established here.
10 A. Of course. Indeed. And the true number may be higher.
11 It's likely to be higher based on historical
12 under-reporting. Or it may be lower because there may
13 have been over-reporting.
14 Q. Yes.
15 A. But it's what has been reported. That's the data as we
16 know it.
17 Q. It is possible that it's just simply a coincidence --
18 A. Yes.
19 Q. -- between particularly, perhaps, an elderly person who
20 has been vaccinated and subsequent death.
21 A. Potentially, although if you see very many of the --
22 very large numbers are in the middle-aged and young
23 groups there, which wouldn't tend to give that kind of
24 pattern of reporting.
25 Q. I see.

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1 I think also in table 12 you have that stratified
2 into male and female.
3 A. There doesn't seem to be much of a difference between
4 male and females. Actually, slightly more males,
5 actually: 53% are males, 47% are females. So there is
6 a difference there, yes.
7 Q. I think we can inform ourselves on that if we look at
8 the accompanying text at 854.
9 A. Yes.
10 Q. If one goes to the penultimate paragraph, you see:
11 "A report with a fatal outcome on the Yellow Card
12 scheme does not necessarily mean that it was caused by
13 the vaccine ..."
14 A. Yes.
15 Q. "... only that the reporter has a suspicion it may have
16 been. Underlying or previously undiagnosed illness
17 unrelated to vaccination can also be factors in such
18 reports. The relative number and nature of UK reports
19 with a fatal outcome are subject to many factors that
20 influence ADR reporting. They should therefore not be
21 used to directly compare the safety of the different
22 vaccines."
23 A. Yes, I would agree with the last sentences.
24 Q. Could we just go finally to the conclusions on page 858.
25 These are essentially the same conclusions that we've

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1 seen in the summary and, again, I draw your attention
2 again to what is said at the penultimate paragraph:
3 "The benefits of the vaccines in preventing COVID-19
4 and serious complications associated with COVID-19 far
5 outweigh any currently known side effects. As with all
6 vaccines and medicines, the safety of COVID-19 vaccines
7 is continuously monitored, and benefits and possible
8 risks remain under review."
9 Again, I understand the clarification that you've
10 made in relation to that, a statement of that
11 generality.
12 A. Mm-hm.
13 Q. And I presume you would make it again in relation to
14 this?
15 A. Yes. Yes.
16 Q. Right. Doctor, you will be pleased to know we're nearly
17 there.
18 A. Thank you.
19 Q. Can we go to section 5 of your report, please. This is
20 at page 73 and following. This is a summary, "what do
21 we know now?"
22 So do I take it really here you are giving, from
23 your perspective of a consultant public health physician
24 and as an epidemiologist in particular, what is your
25 professional, informed opinion?

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1 A. Indeed, yes.
2 Q. And these statements are statements which, in your view,
3 are borne out by the material that you have
4 considered --
5 A. Yes.
6 Q. -- and looked at?
7 A. Yes, Mr Gale.
8 Q. So on that basis, doctor, would you just read through
9 that section, please.
10 A. So:
11 "Summary -- what do we now know?
12 "The COVID-19 pandemic of 2020-2023, caused by
13 a novel coronavirus, was a national emergency which
14 threatened the lives of certain groups in society: the
15 very old, and the very sick [but especially the very
16 old].
17 "Other groups (children [by which I mean healthy
18 children], healthy young adults) were not ever at risk
19 of severe disease.
20 "By early 2023 the pandemic had abated but there
21 were reports of many people with long COVID and of other
22 people with long-term cardiovascular sequelae of
23 COVID-19 infection."
24 So some bullet points about physical measures
25 against COVID-19. These, I hope, all arise organically

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1 from what has been said earlier in the report. So the
 2 first bullet point:
 3 " ■ From March 2020 onwards, and in common with many
 4 other governments, the Scottish government recommended
 5 or mandated a range of physical measures intended to
 6 limit of spread of SARS–CoV–2, the novel coronavirus
 7 which was the cause of COVID–19.
 8 " ■ The physical measures recommended or mandate by
 9 the Scottish government ranged from simple public health
 10 practices (the encouragement of frequent handwashing,
 11 cleaning of environmental surfaces, the use of PPE in
 12 hospitals and care homes) to coercive and/or intrusive
 13 measures (face mask mandates outside of healthcare
 14 settings; lockdowns [and there's a definition for that];
 15 enforced social distancing; test, trace and isolate
 16 measures).
 17 " ■ In 2020 there was scientific evidence to support
 18 the use of some of the physical measures (e.g. frequent
 19 handwashing, the use of PPE in hospital settings)
 20 adopted against COVID–19.
 21 " ■ For other measures (e.g. face mask mandates
 22 outside of healthcare settings, lockdowns, social
 23 distancing, test, trace and isolate measures) there was
 24 either insufficient evidence in 2020 to support their
 25 use – or alternatively, no evidence; the evidence base

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1 has not changed materially in the intervening
 2 three years [even though there have been two additional
 3 reports about face masks].
 4 " ■ It has been argued that the restrictive measures
 5 introduced during the COVID–19 pandemic resulted in
 6 individual, societal and economic harm that was
 7 avoidable and that should not have occurred."
 8 And in fact that's now one of the textbook standard
 9 points that seems to be indisputable.
 10 So "Vaccines against COVID–19". We have eight
 11 bullet points. Firstly:
 12 " ■ Vaccines against COVID–19 became available to the
 13 UK general public in [January] 2020; initially only the
 14 high–risk groups (the very old, the very sick) [also
 15 healthcare workers] were targeted.
 16 " ■ All the COVID–19 vaccines procured by the
 17 UK government during 2020 and 2021 were nucleic acid
 18 vaccines using novel gene technology."
 19 But more recently they had procured a couple of
 20 conventional vaccines.
 21 Thirdly:
 22 " ■ As additional vaccine supplies became available,
 23 vaccination was extended to young, middle–aged and
 24 elderly adults, and to children."
 25 Q. Can I just stop you, doctor. There is one small

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1 typographical error but it might be seen as being
 2 significant. In the first bullet point I think the date
 3 should be January 2021.
 4 A. Yes. Yes, thank you. Thank you, Mr Gale. Yes. Thank
 5 you so much.
 6 So fourth bullet point:
 7 " ■ Vaccination against COVID–19 became
 8 a prerequisite of travel to many countries, and some UK
 9 employers made it obligatory for their workforce.
 10 " ■ It remains unclear as to whether or not COVID–19
 11 vaccination has resulted in fewer deaths from COVID–19.
 12 " ■ COVID–19 vaccines have been shown in randomised
 13 controlled trials to be effective, or probably
 14 effective, in reducing the number of people acquiring
 15 COVID–19 or severe COVID–19; however vaccine–induced
 16 protection against COVID–19 is short–lived."
 17 So there's waning immunity which is not mentioned at
 18 all in the MHRA report, I should add.
 19 The last point:
 20 " ■ Because of the antigenic variability of all
 21 coronaviruses [this concept of them being a moving
 22 target], including SARS–CoV–2, it was foreseeable that
 23 COVID–19 vaccines would only provide short–term
 24 protection against COVID–19 (as is the case also with
 25 current vaccines against seasonal influenza).

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1 " ■ Because the novel gene technology vaccines
 2 procured by the UK government had been tested on
 3 relatively small study populations, and had been
 4 assessed for safety over short follow–up periods only,
 5 rare and sometimes serious adverse effects (including
 6 reported fatal events) emerged, once the vaccines had
 7 been used on a mass scale in the UK and in other
 8 countries."
 9 Q. Doctor, there's one point in your summary that I would
 10 like to touch on with you, and that goes back to that
 11 first section at page 73.
 12 A. Yes.
 13 Q. It's the second paragraph, the short paragraph.
 14 A. Yes.
 15 Q. "Other groups (children, healthy young adults) were not
 16 ever at risk of severe disease."
 17 A. Yes.
 18 Q. Now, I think I understand the context in which you're
 19 saying that --
 20 A. Yes.
 21 Q. -- but I think you are saying that in the --
 22 A. In a population -- in a population --
 23 Q. -- context of a public health practitioner looking at
 24 large --
 25 A. That's right, yes.

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1 Q. And of course we know ---
 2 A. Yes.
 3 Q. --- and we will hear and we know of instances where
 4 children and healthy young adults were at risk of severe
 5 disease?
 6 A. Right, yes, of course.
 7 MR GALE: Doctor, you will be pleased to know that is all
 8 I have to ask you. If his Lordship wishes any
 9 clarification, but at the moment, thank you very much
 10 for the report that you have provided and the work that
 11 you have done, and on behalf of the Inquiry, I thank
 12 you.
 13 THE WITNESS: Thank you.
 14 LORD BRAILSFORD: I'm happy to say I've got nothing I wish
 15 to ask you. Thank you very much. I simply repeat what
 16 Mr Gale has said.
 17 THE WITNESS: Yes.
 18 LORD BRAILSFORD: This is, of course, simply the first stage
 19 in the presentation of scientific evidence. There will
 20 be a considerable volume, at the moment we can't be sure
 21 exactly how much further evidence of science or
 22 epidemiology in relation to COVID-19, and what we've
 23 heard today will no doubt figure in the evidence we hear
 24 in the future because, of course, all subsequent experts
 25 who give evidence in whatever capacity will have the

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1 opportunity to see what you've written. But in the
 2 meantime, thank you very much indeed. I'm very
 3 grateful.
 4 So far as the audience is concerned, our thanks to
 5 you for attending for two long days. I'm very grateful.
 6 And, as I suppose they say in show business, I look
 7 forward to look seeing you on 28 August at Murrayfield.
 8 Thank you all.
 9 (4.00 pm)
 10 (The hearing adjourned until Monday, 28 August 2023)
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